

Constraints on Nuclear EOS by Nuclear Response Experiments

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*Research Center for Nuclear Physics (RCNP)
Osaka University, Japan*

from the studies with the Grand Raiden
spectrometer at RCNP

Physics of Core-Collapse Supernova
and Compact Star Formations

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Nuclei as a Micro-Physics Laboratory

Study of nuclear properties

- Systematic properties of nuclei and nuclear matter
- Properties of individual nuclides, excited states, and reactions

Experimental Methods

- Stable nuclei (target): higher resolution, statistics, and accuracy
- Unstable nuclei (beam): exotic nuclei, broader systematics



Nucleus



Neutron Star

Strategy

I will focuses on the properties of the nuclear matter in the conditions of

$N \gtrsim Z$	→ <i>neutron matter</i>
$\rho \sim \rho_0$	→ <i>high density</i>
$T = 0$	→ <i>finite temperature</i>
p and n	→ <i>strangeness</i>

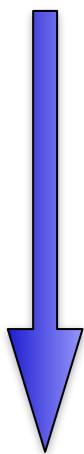
Ordinary nuclear matter

Starting point for the studies of the more exotic conditions

Strategy

High-quality data of nuclear responses

e.g. electric dipole response



Theoretical models

Mean-field models

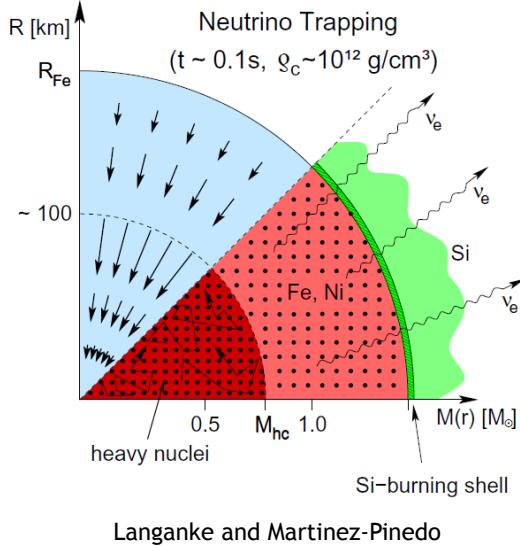
Parameters of the nuclear equation of states

e.g. J and L

Nuclear EOS

is important for nuclear physics and nuclear-astrophysics

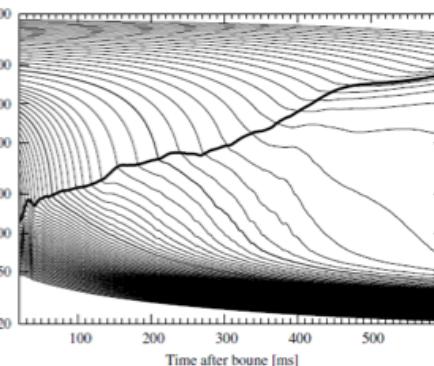
Core-collapse supernova



Neutron Star Merger Gravitational Wave

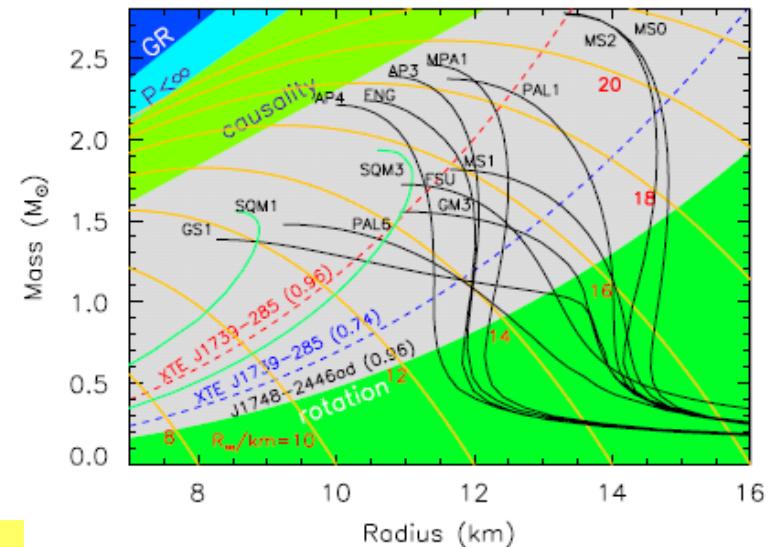


https://www.youtube.com/watch?v=IZhNWh_lFuI

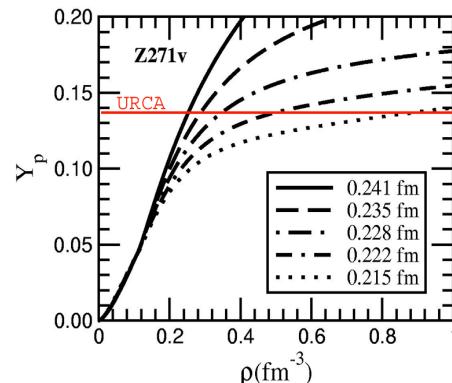


Nucleosynthesis

Neutron star mass vs radius

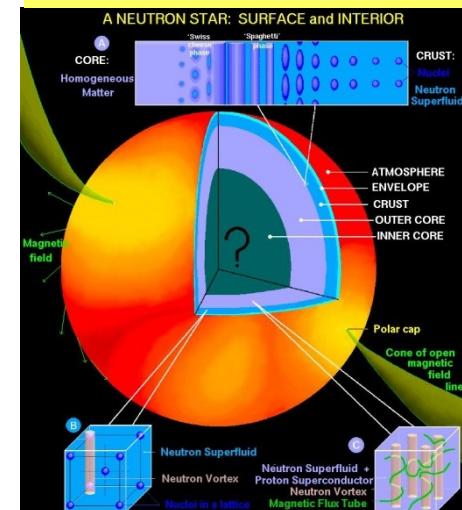


Neutron star cooling



Lattimer and Prakash, Science 304, 536 (2004).

Neutron star structure



Nuclear Equation of State (EOS) at zero temperature

Nuclear EOS neglecting Coulomb

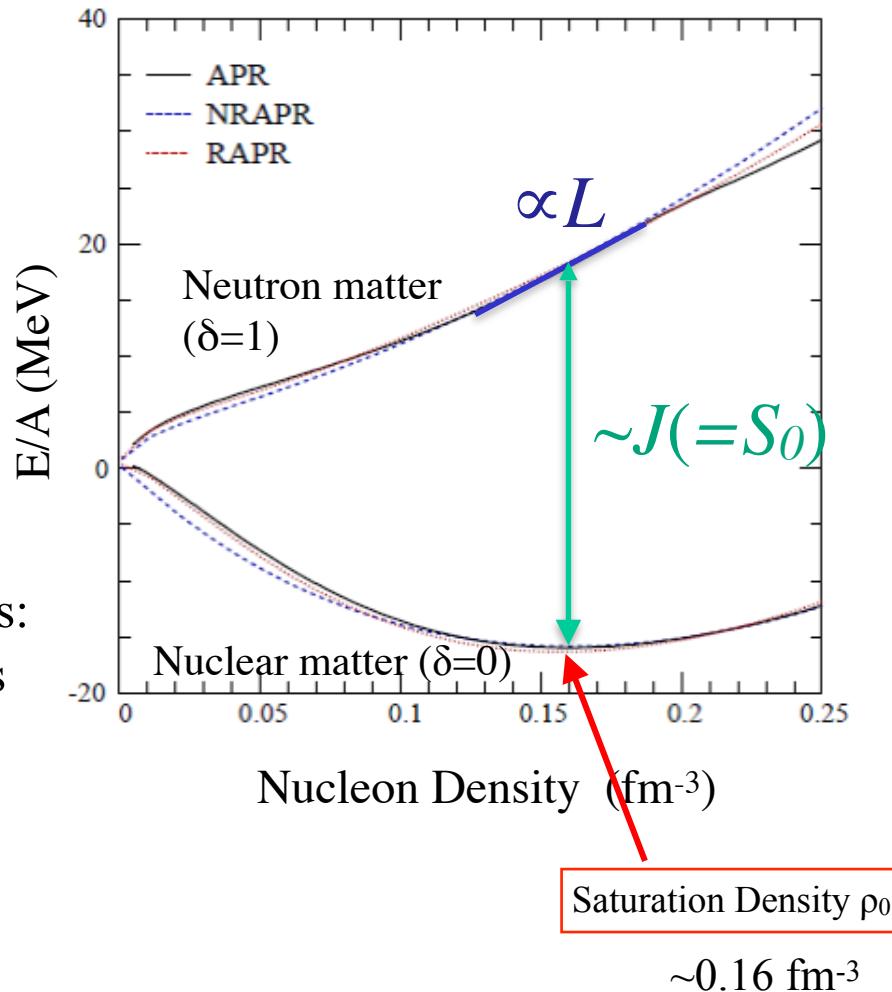
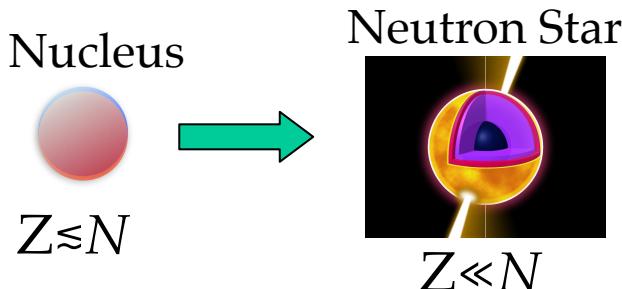
$$\frac{E}{A}(\rho, \delta) = \frac{E}{A}(\rho, 0) + S(\rho)\delta^2 + \dots$$

$$\delta \equiv \frac{\rho_n - \rho_p}{\rho_n + \rho_p} \quad \text{Asymmetry parameter}$$

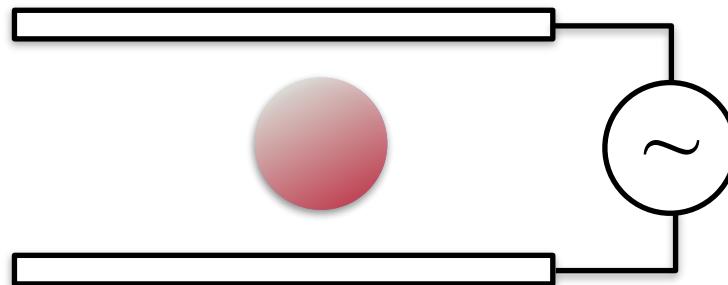
Symmetry energy

$$S(\rho) = J - \frac{L}{3\rho_0}(\rho - \rho_0) + \dots$$

\Leftrightarrow difference between p - n chemical potentials:
how the system energy changes when protons
are replaced by the neutrons



Electric Dipole Response of Nuclei and the Nuclear Symmetry Energy



Nuclear Equation of State: How?

How can we study the EOS?

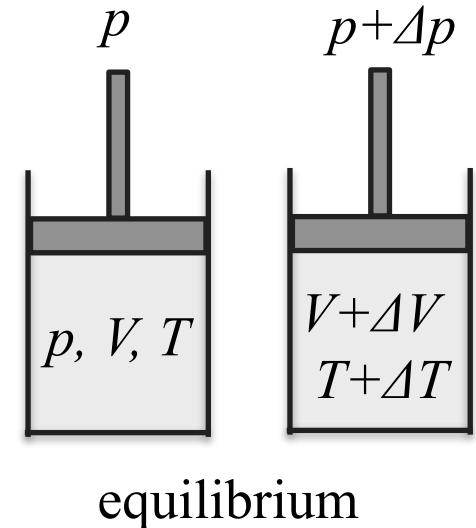
Thermodynamics

Give a “small perturbation” to the system

then observe how the system changes

→ responses

$$\kappa = -\frac{1}{V} \left(\frac{dV}{dp} \right)_s \quad \text{adiabatic compressibility}$$

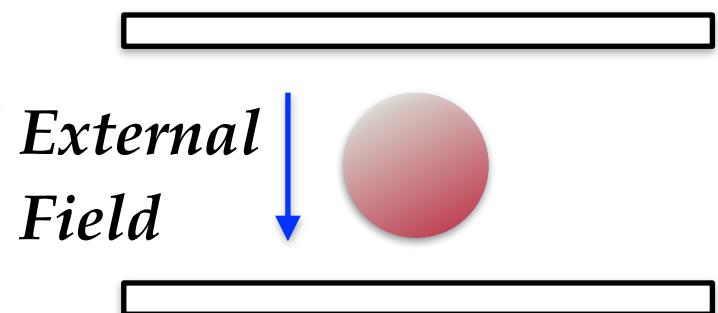


Nuclear EOS

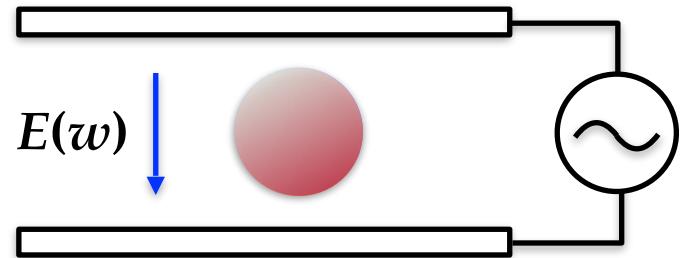
Give a small perturbation by an external field

then observe how the system changes

→ nuclear responses

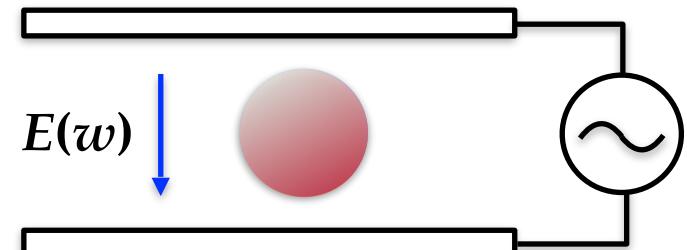
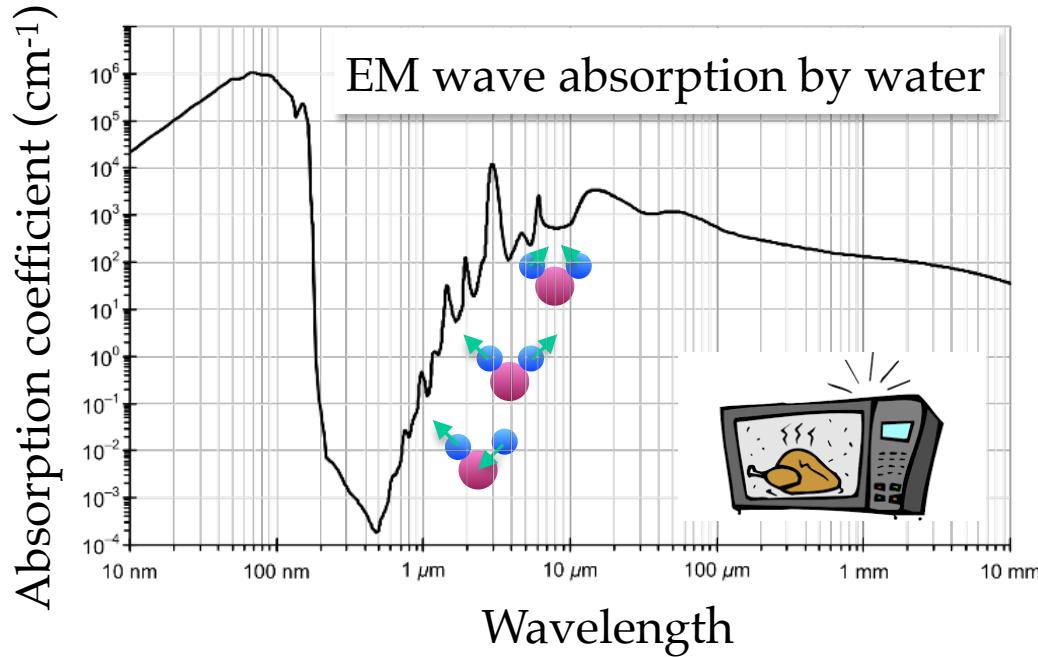


EDP is determined from the photo-absorption cross sections



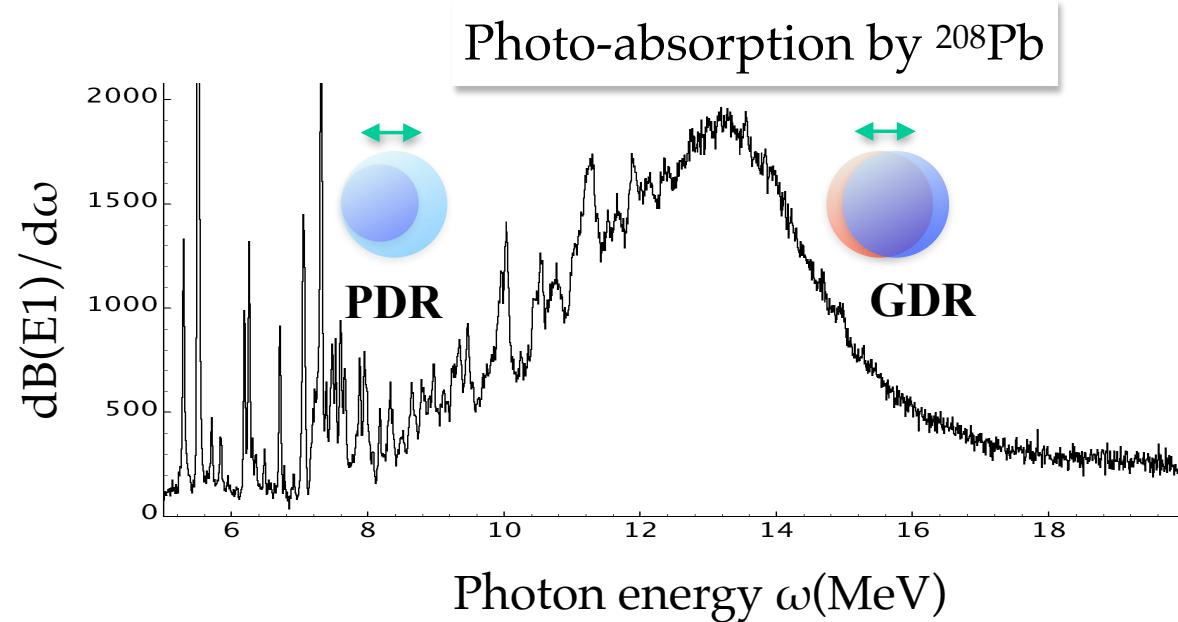
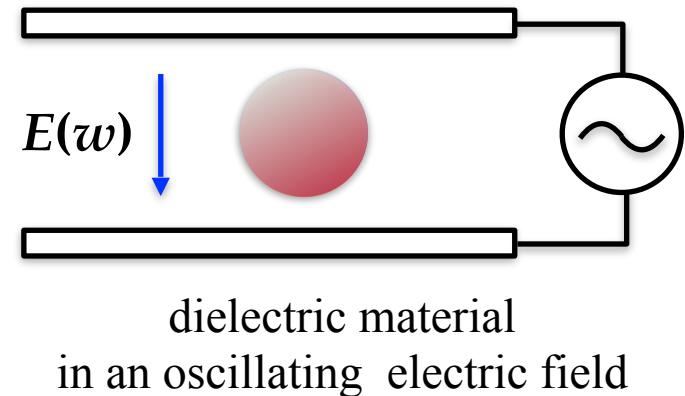
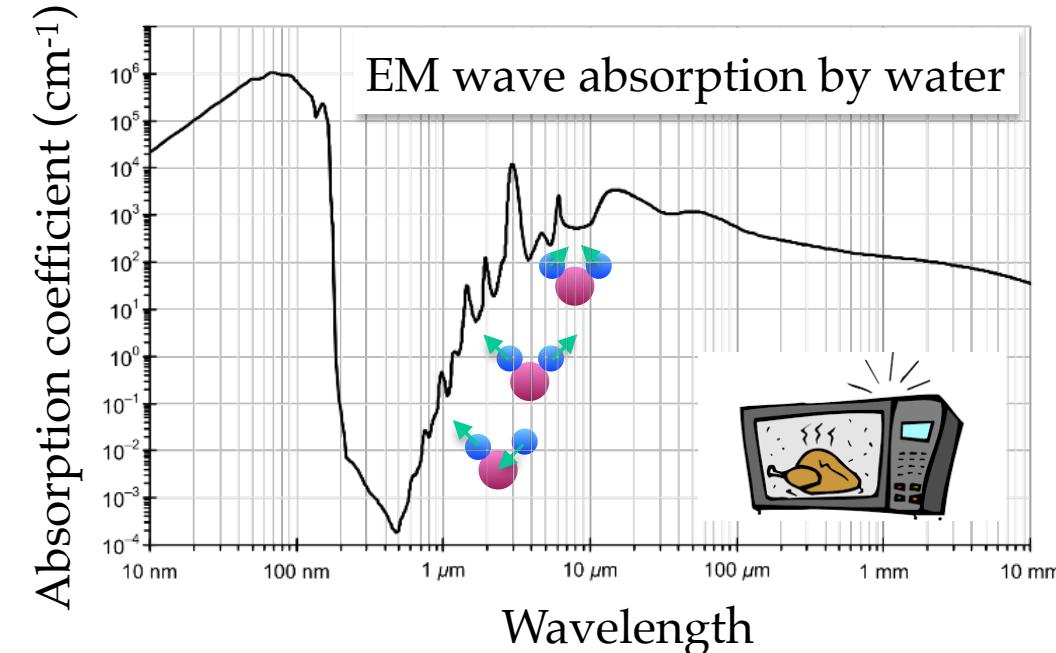
dielectric material
in an oscillating electric field

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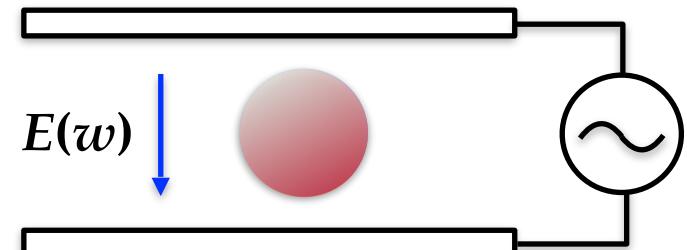
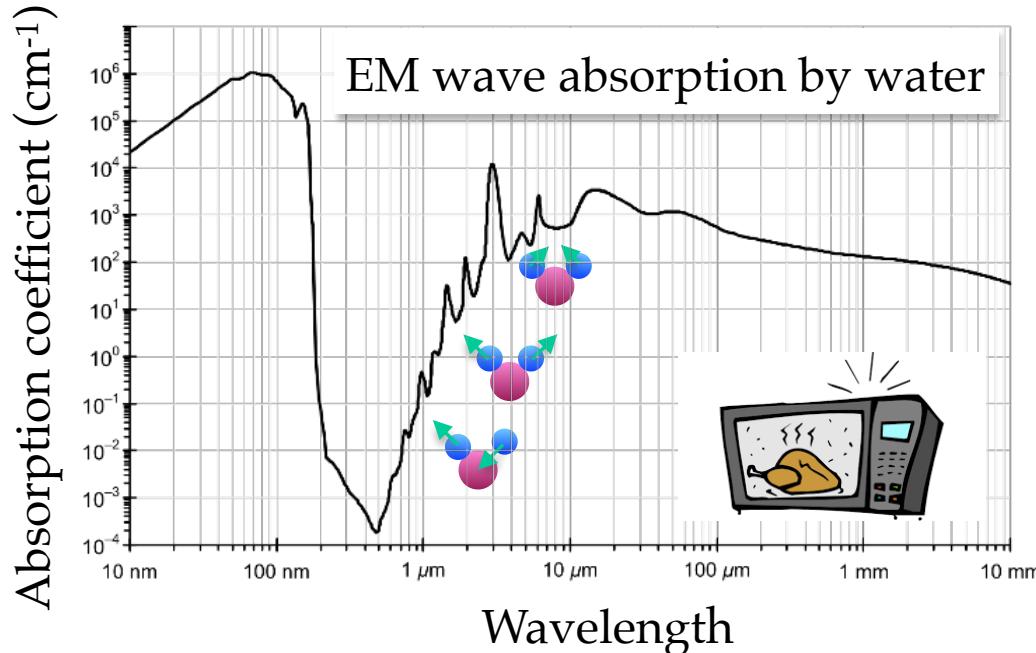


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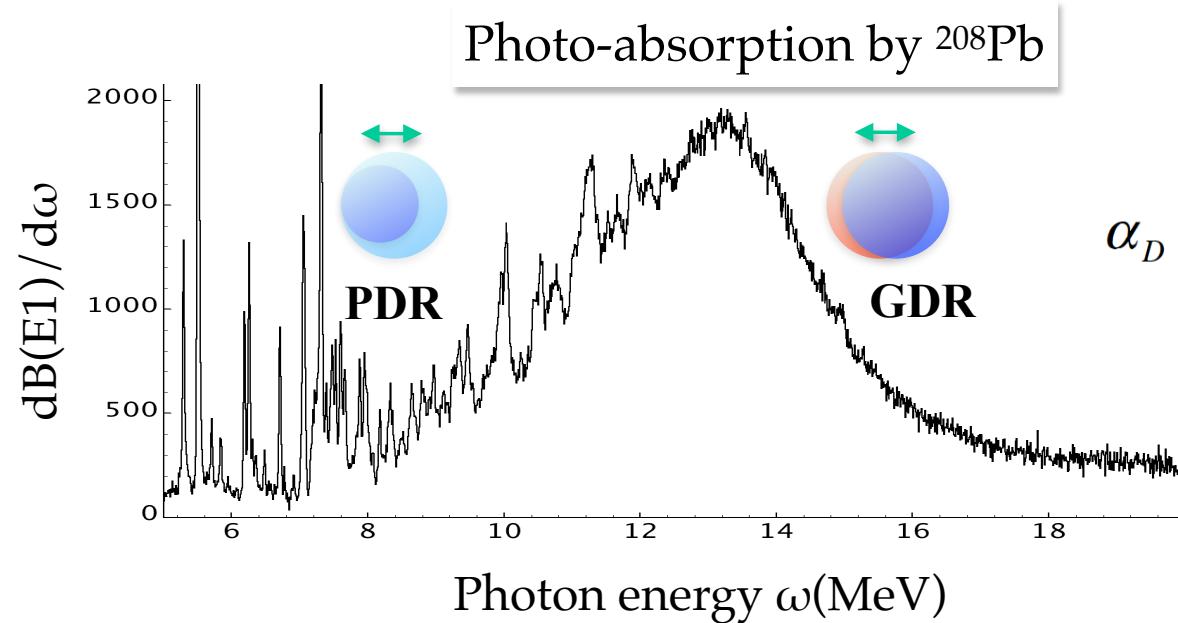
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EDP is determined from the photo-absorption cross sections



dielectric material
in an oscillating electric field



Inversely energy-weighted sum-rule

$$\alpha_D = \frac{\hbar c}{2\pi^2} \int \frac{\sigma_{\text{abs}}^{E1}}{\omega^2} d\omega = \frac{8\pi}{9} \int \frac{dB(E1)}{\omega}$$

first order perturbation calc.

A.B. Migdal: 1944

Electric Dipole Polarizability (α_D)

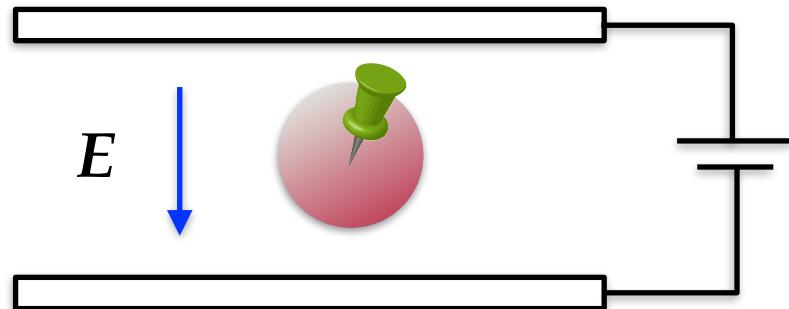
Electric dipole moment

$$p = \alpha_D \times E$$

α_D : electric dipole polarizability



The **restoring force** originates from the **symmetry energy**.



nucleus

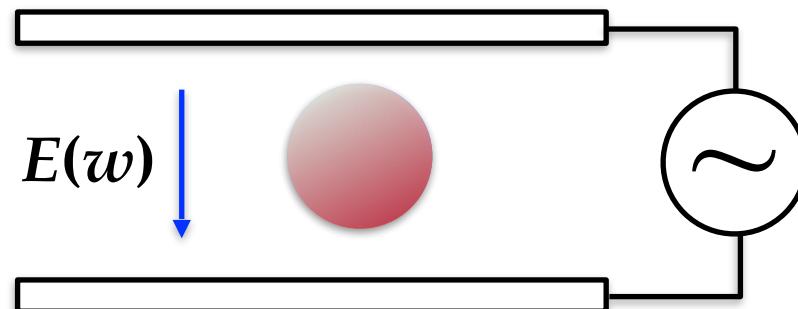
in a static electric field
with fixing the c.m. position

Inversely energy-weighted sum-rule of $B(E1)$

$$\alpha_D = \int \frac{1}{\omega} \frac{dB(E1)}{d\omega} d\omega$$

first order perturbation calc.

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dielectric material
in an oscillating electric field

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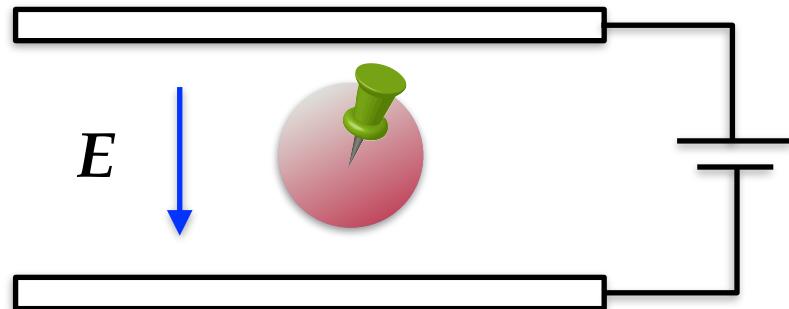
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nucleus

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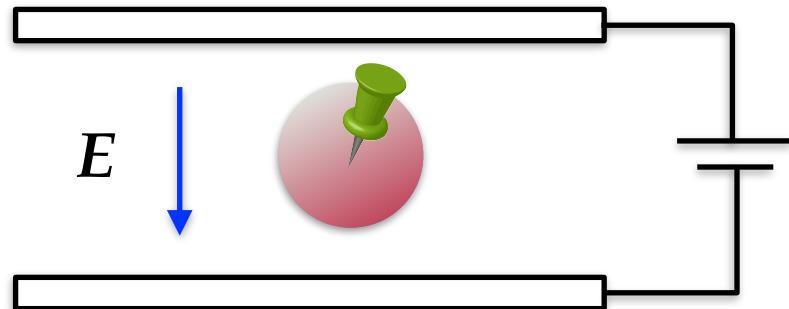
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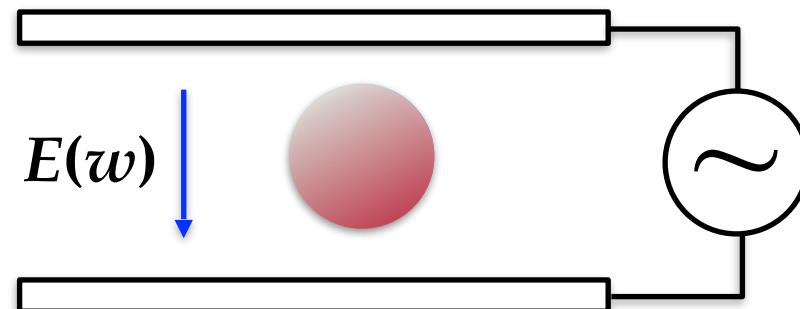
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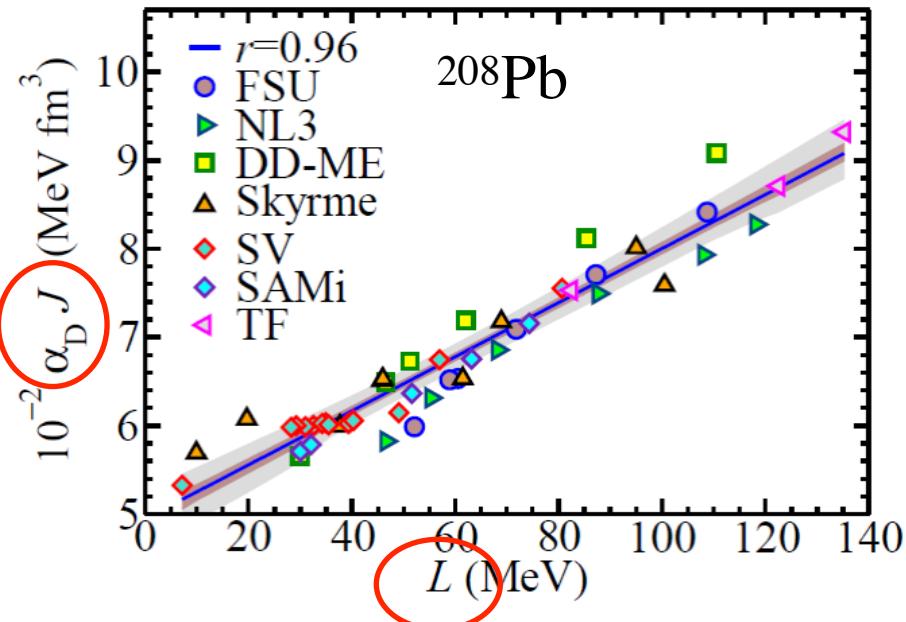
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A.B. Migdal: 1944



dielectric material
in an oscillating electric field 5

Electric Dipole Polarizability (α_D) in the correlation of J and L



X. Roca-Maza *et al.*, PRC88, 024316(2013)

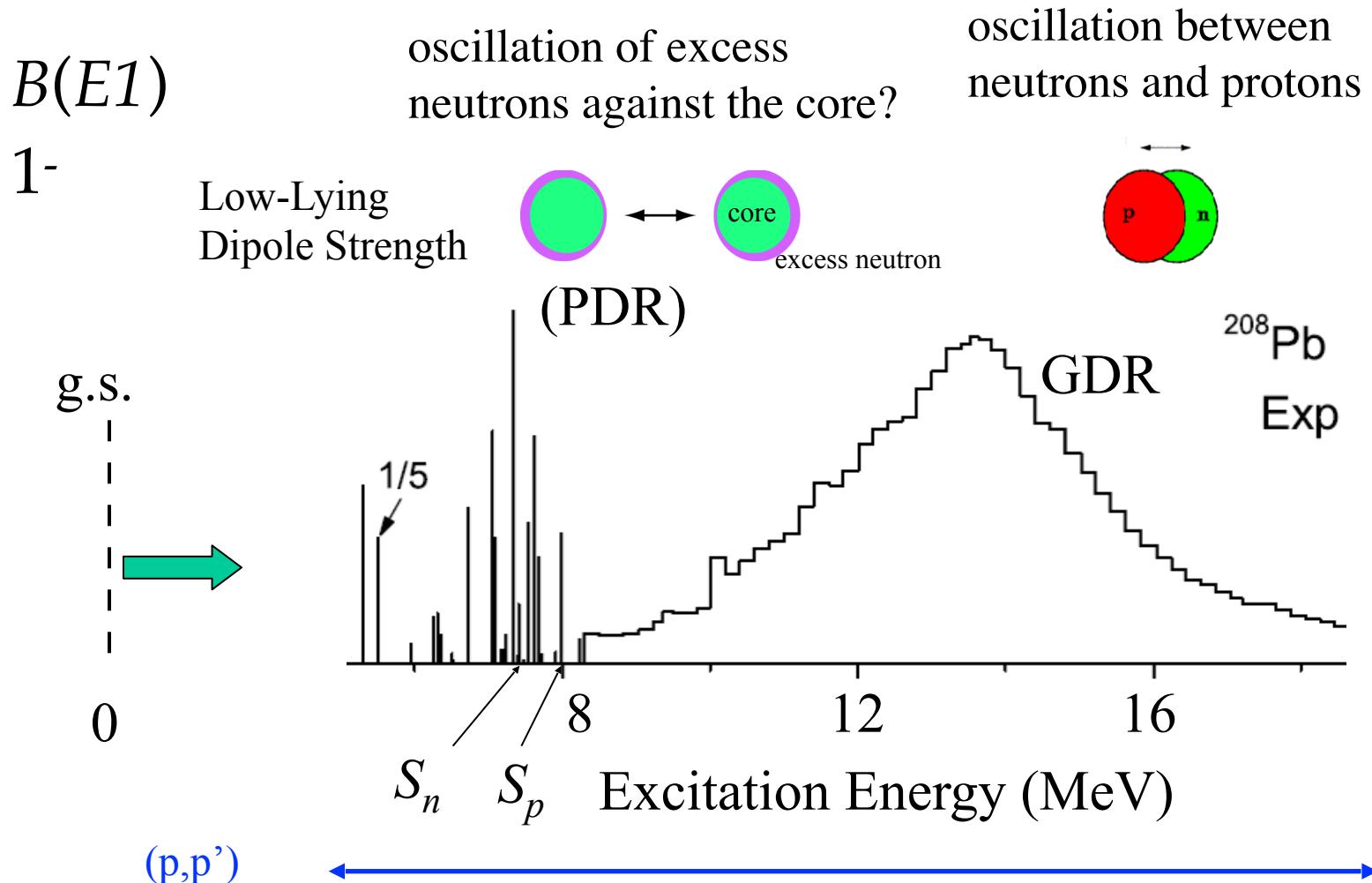
Correlations observed in various interaction sets in the framework of EDF.

$$\alpha_D^{\text{DM}} \approx \frac{\pi e^2}{54} \frac{A \langle r^2 \rangle}{J} \left[1 + \frac{5}{3} \frac{L}{J} \epsilon_A \right]$$

insights from the droplet model

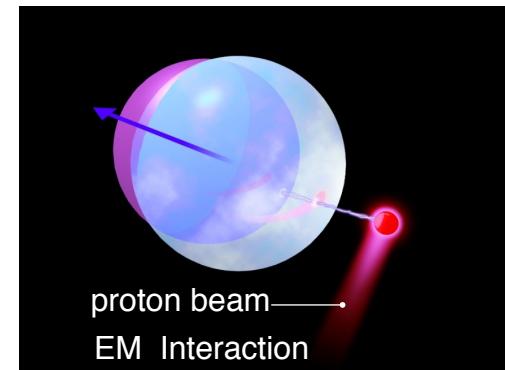
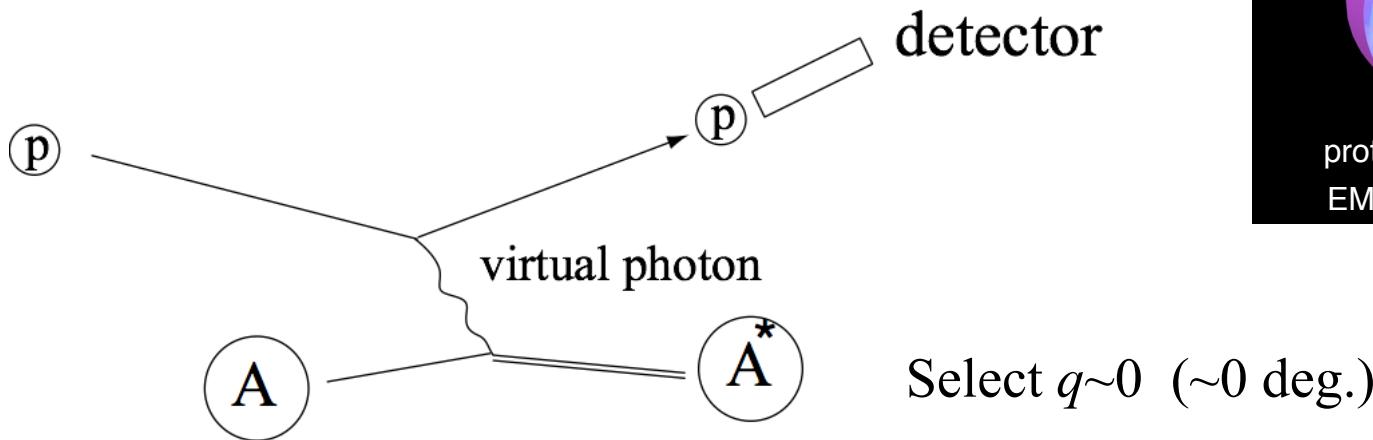
Precise determination of α_D of ^{208}Pb gives a constraint band in the $J-L$ plane.

Electric Dipole Response of Nuclei



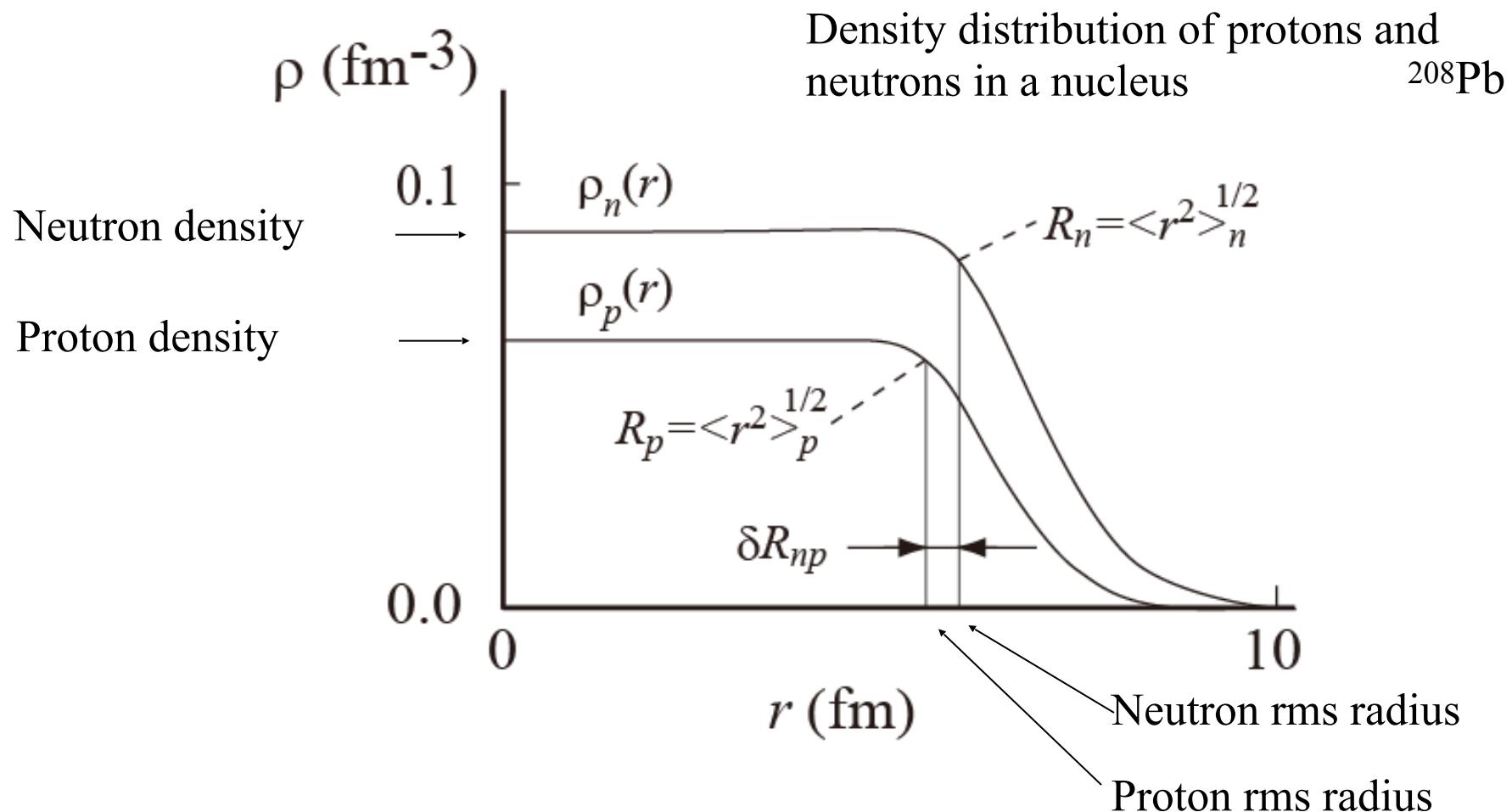
Probing the E1 Response by Proton Scattering

Missing Mass Spectroscopy by Virtual Photon Excitation



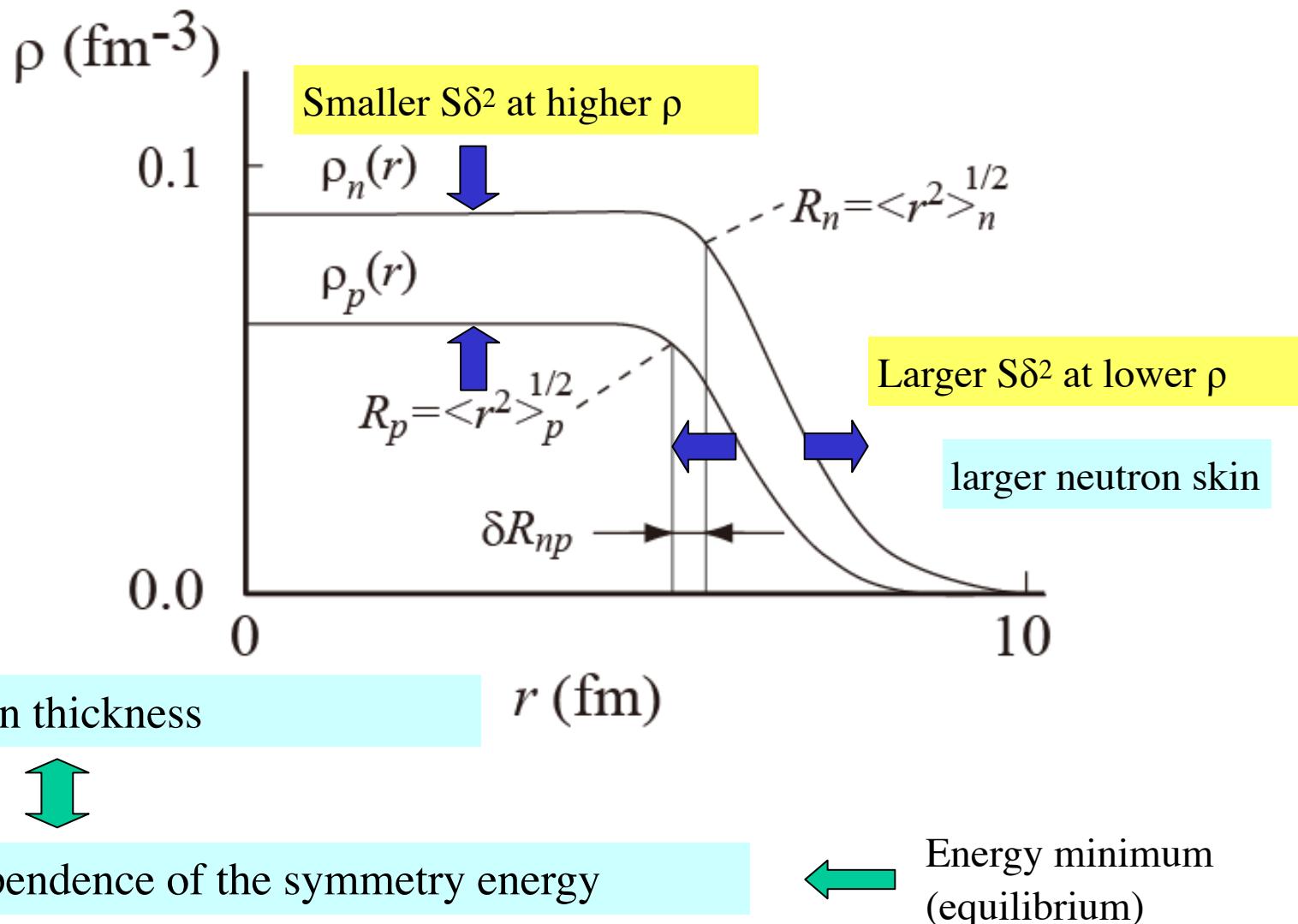
- **Missing mass spectroscopy:**
Total strength is measured independently from the decaying channels.
- **Multipole decomposition** of the strength in the continuum:
Includes the contribution of unresolved small states
- **Coulomb excitation:** EM Interaction
Absolute determination of the transition strength.

Neutron Skin and Density Dependence of the Symmetry Energy



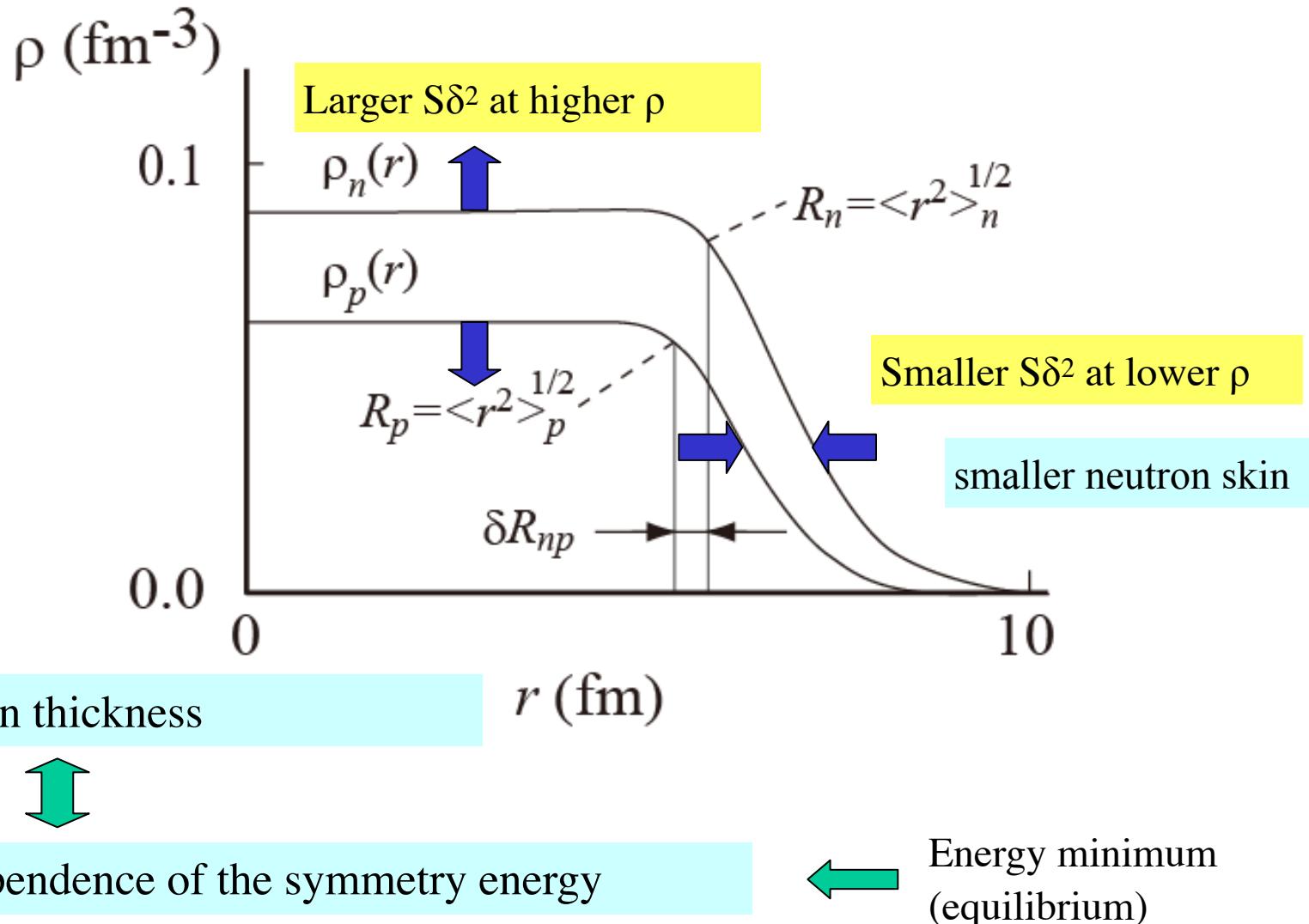
Neutron Skin and Density Dependence of the Symmetry Energy

For larger L :



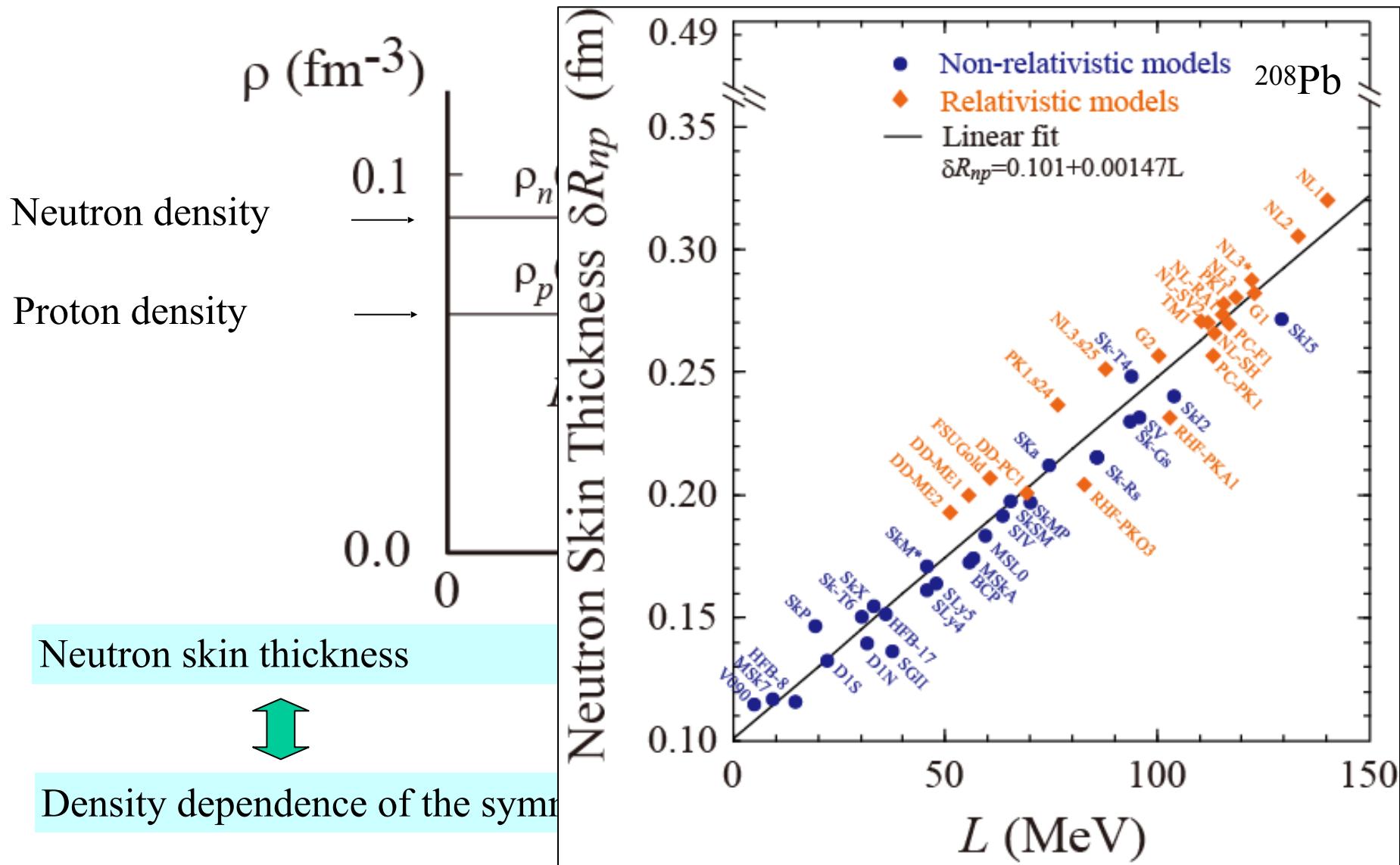
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For smaller L :



Neutron Skin and Density Dependence of the Symmetry Energy

X. Roca-Maza *et al.*, PRL **106**, 252501 (2011)





RCNP, Osaka Univ.



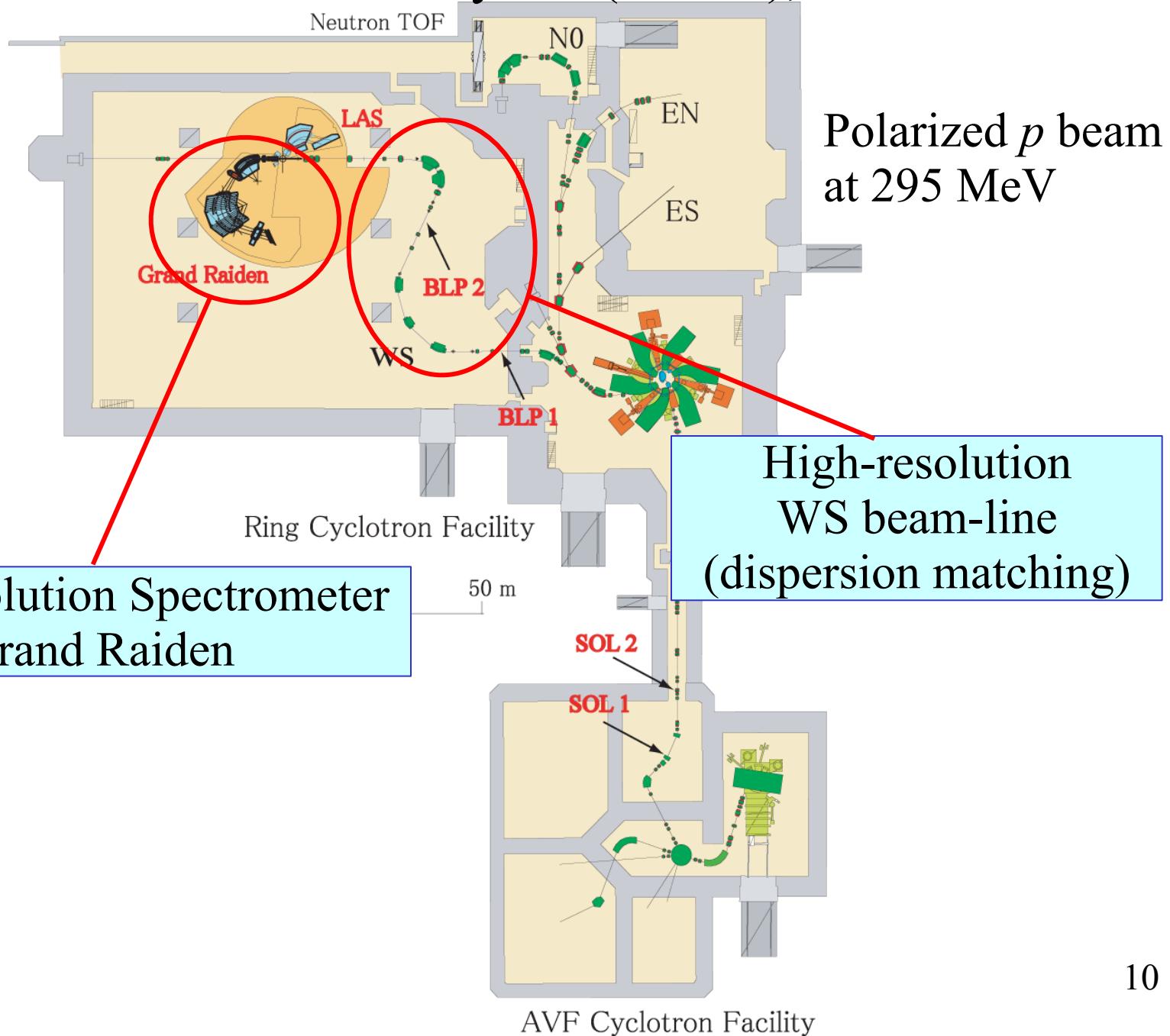


RCNP
OSAKA

TOKYO

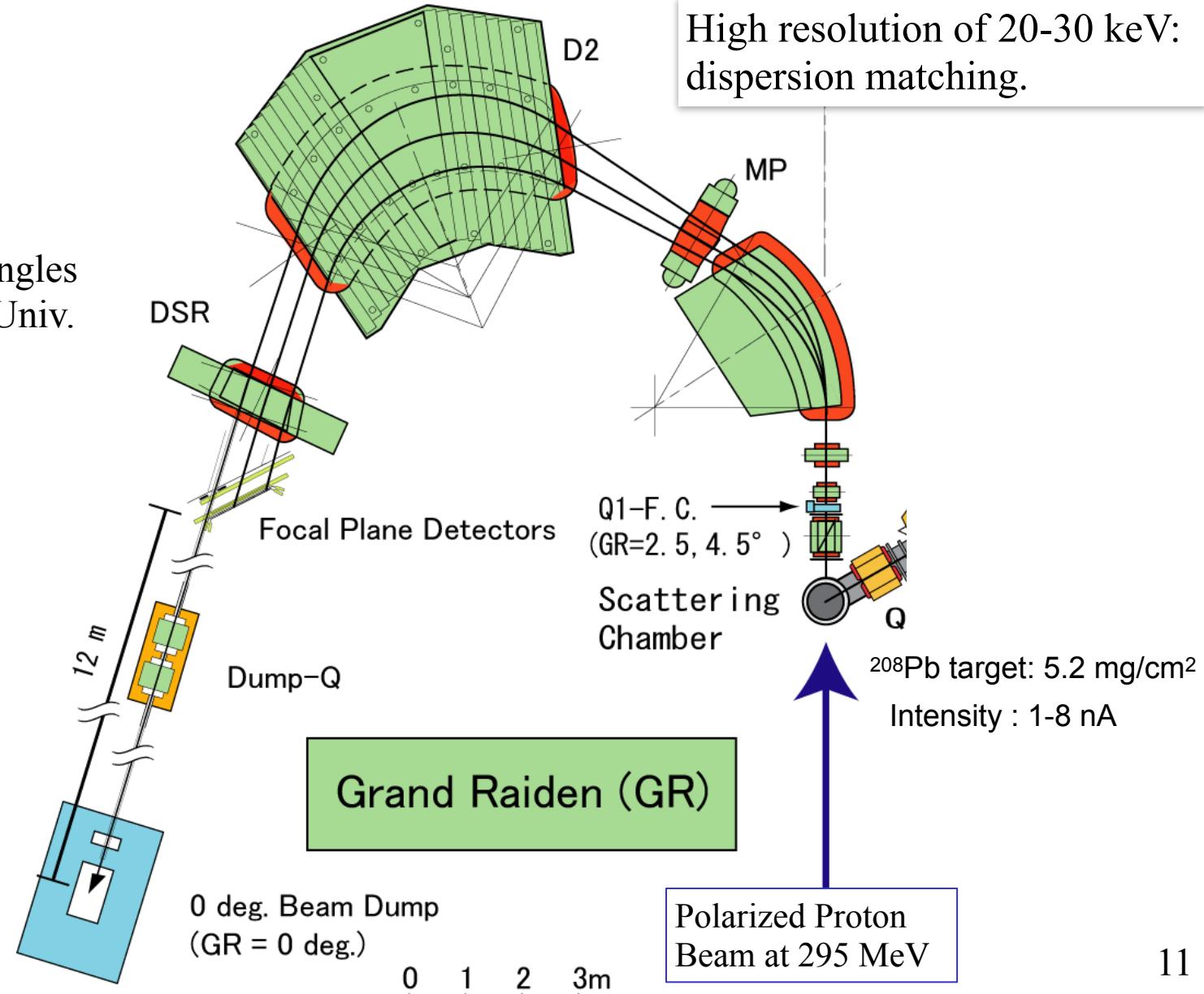
~18 neutron stars

Research Center for Nuclear Physics (RCNP), Osaka University



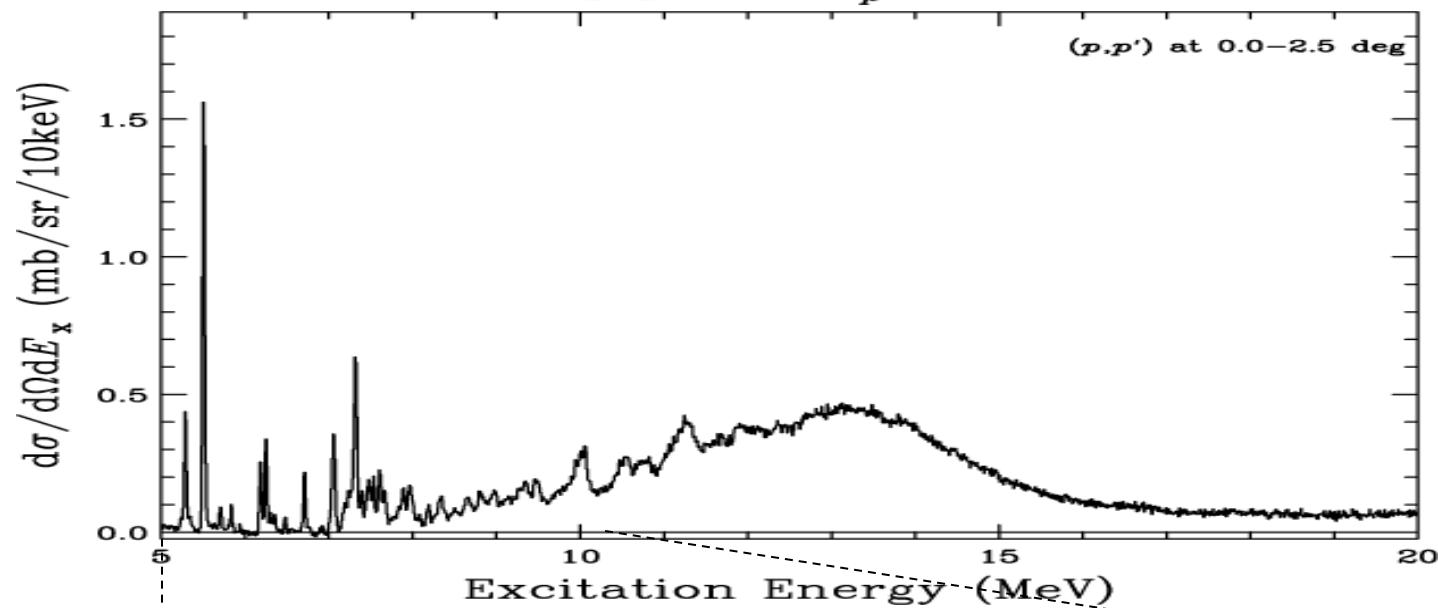
High-Resolution Spectrometer “Grand Raiden”

Proton scattering
at very forward angles
at RCNP, Osaka Univ.



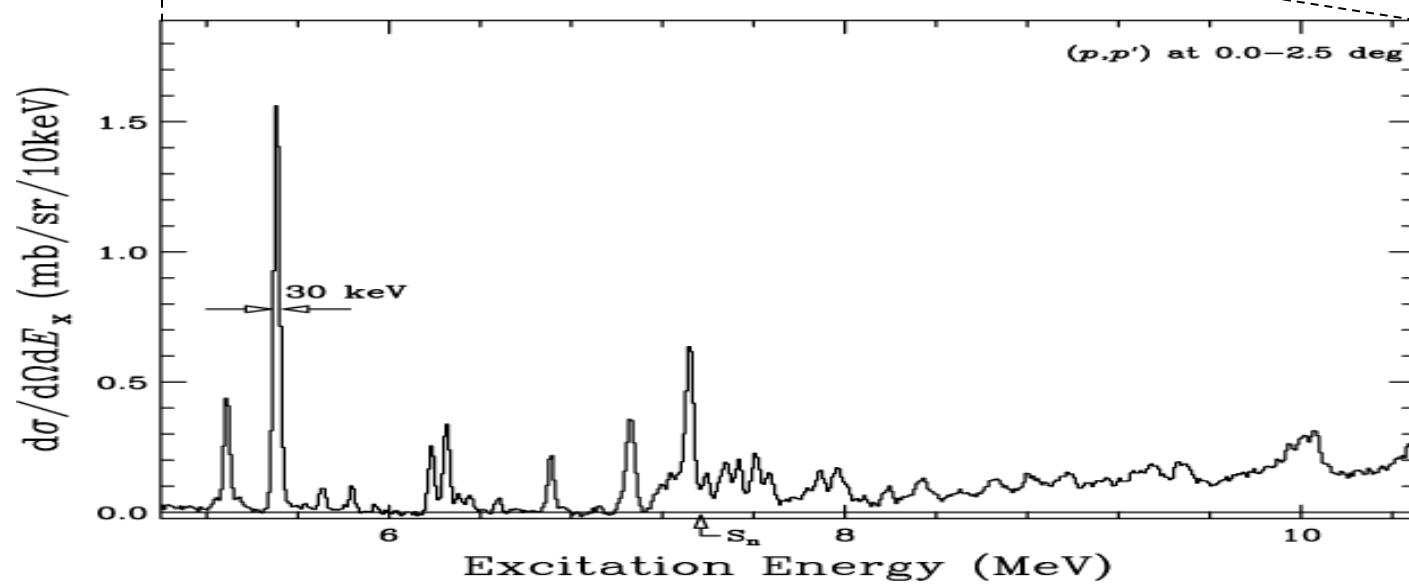
$^{208}\text{Pb}(p,p')$ at $E_p = 295$ MeV

(p,p') at 0.0–2.5 deg



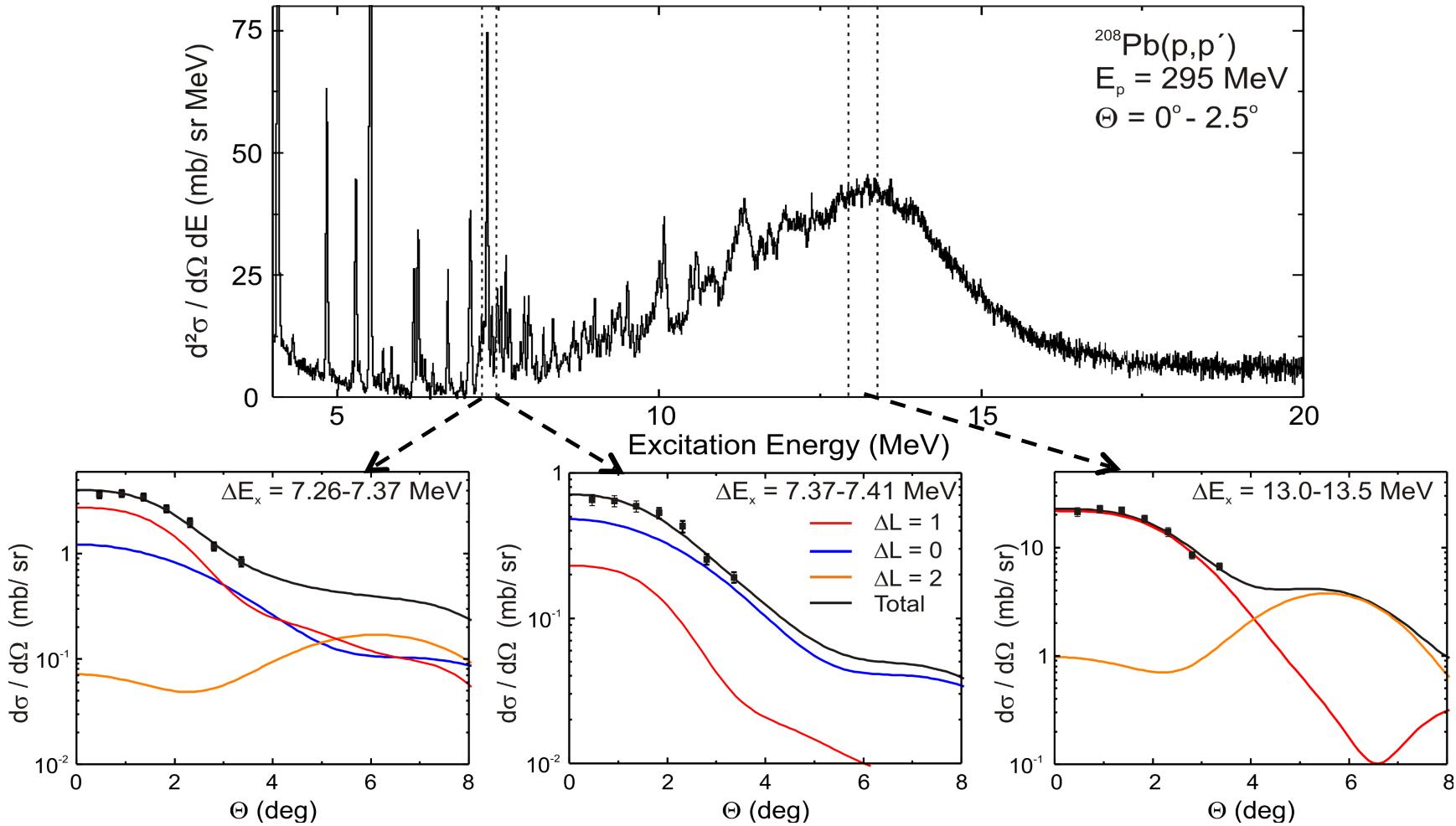
Excitation Energy (MeV)

(p,p') at 0.0–2.5 deg



B(E1): continuum and GDR region

Method 1: Multipole Decomposition



- Neglect of data for $\Theta > 4^\circ$: (p,p') response too complex
- Included E1/M1/E2 or E1/M1/E3 (little difference)

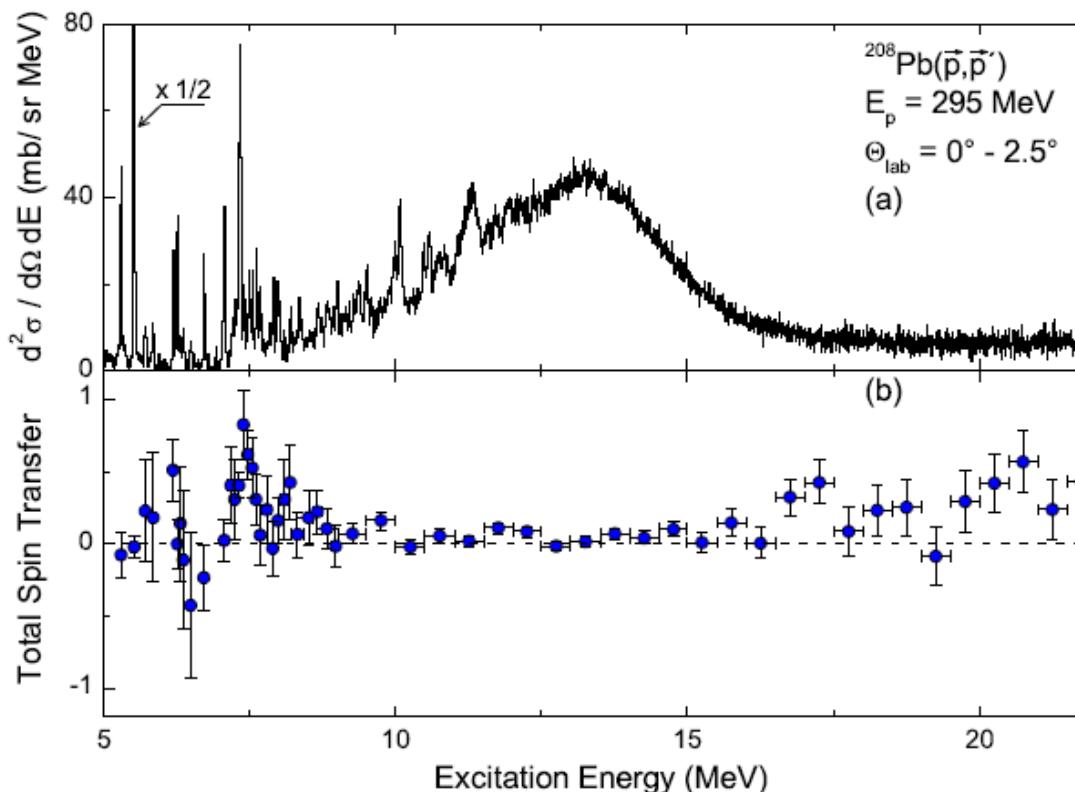
Grazing Angle = 3.0 deg

B(E1): continuum and GDR region

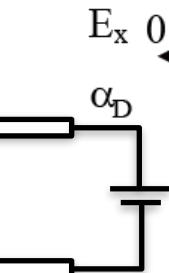
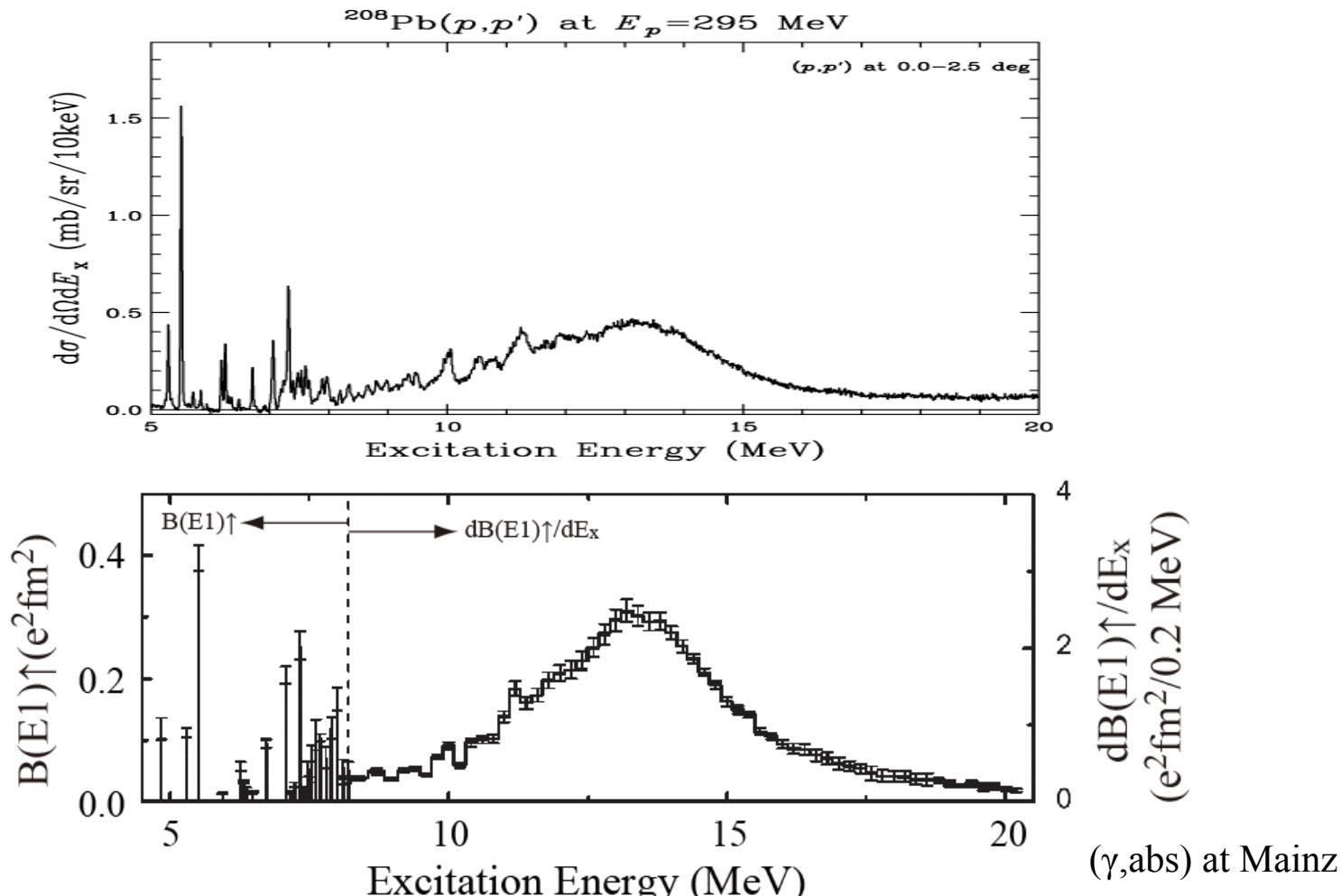
Method 2: Decomposition by Spin Observables

Polarization observables at 0°  spinflip / non-spinflip separation
model-independent
E1 / spin-M1 decomposition
T. Suzuki, PTP 103 (2000) 859

$$\text{Total Spin Transfer } \Sigma \equiv \frac{3 - (2D_{ss} + D_{ll})}{4} = \begin{cases} 1 & \text{for } \Delta S = 1 \quad \text{spin-M1} \\ 0 & \text{for } \Delta S = 0 \quad \text{E1} \end{cases}$$



Electric Dipole Polarizability: ^{208}Pb , ^{120}Sn



2.7

16.2

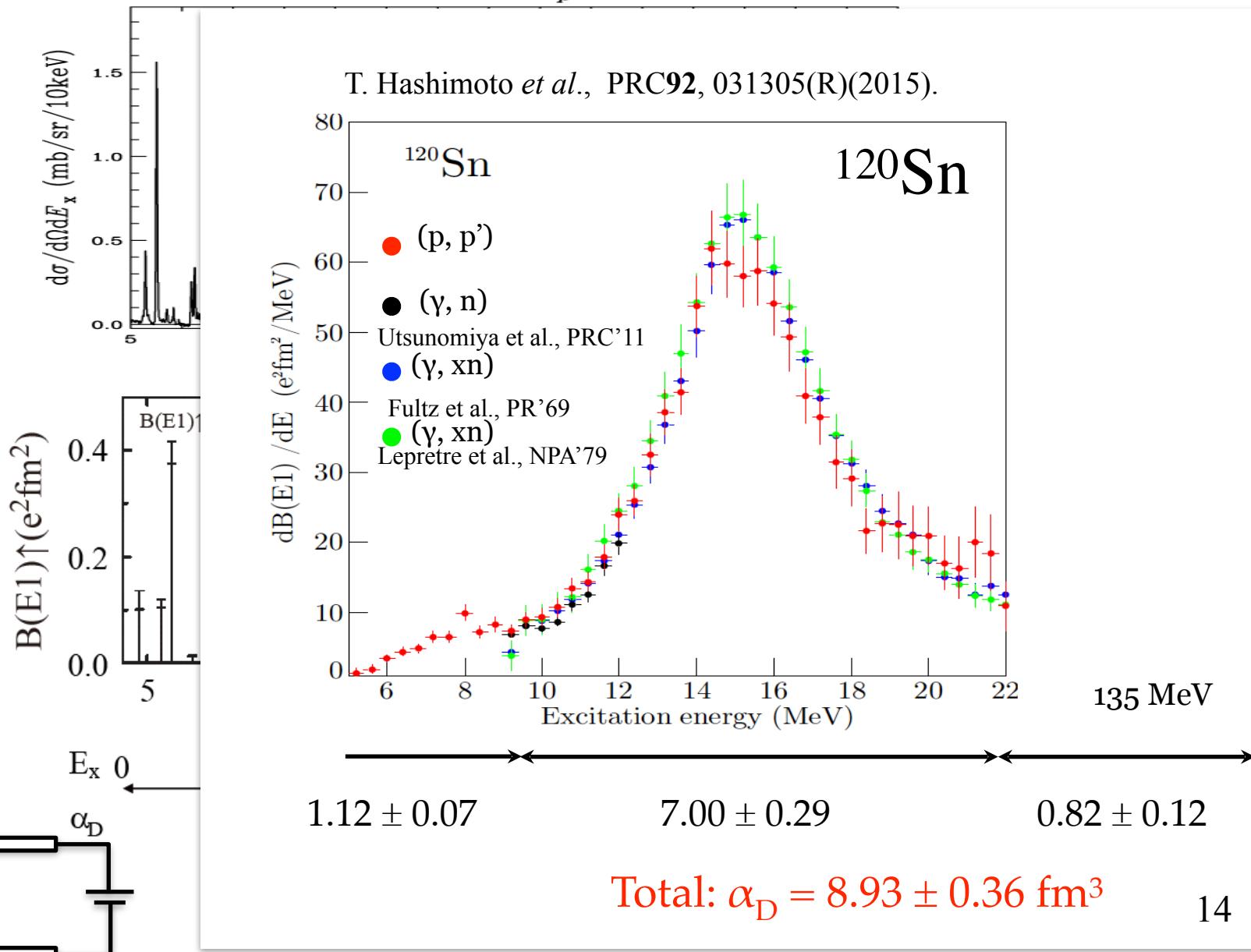
1.2 fm 3

total 20.1 ± 0.6 fm 3

AT et al., PRL107, 062502(2011)¹⁴

Electric Dipole Polarizability: ^{208}Pb , ^{120}Sn

$^{208}\text{Pb}(p, p')$ at $E_p = 295$ MeV



Electric Dipole Polarizability

Clear definition

Unambiguous in the integration range

↔ Pygmy Dipole Strength

Inversely energy weighted sum-rule

More sensitive to the low-energy strength

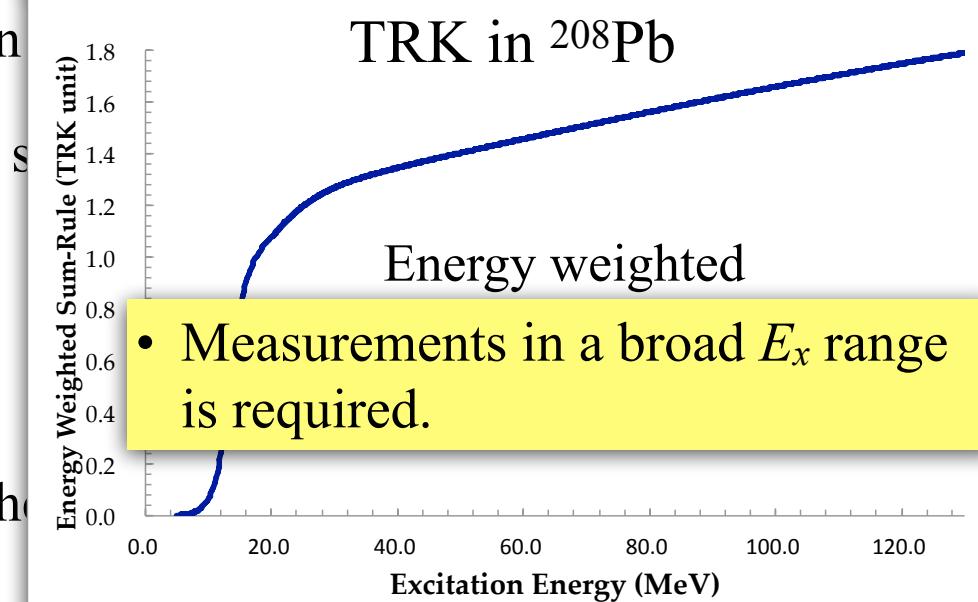
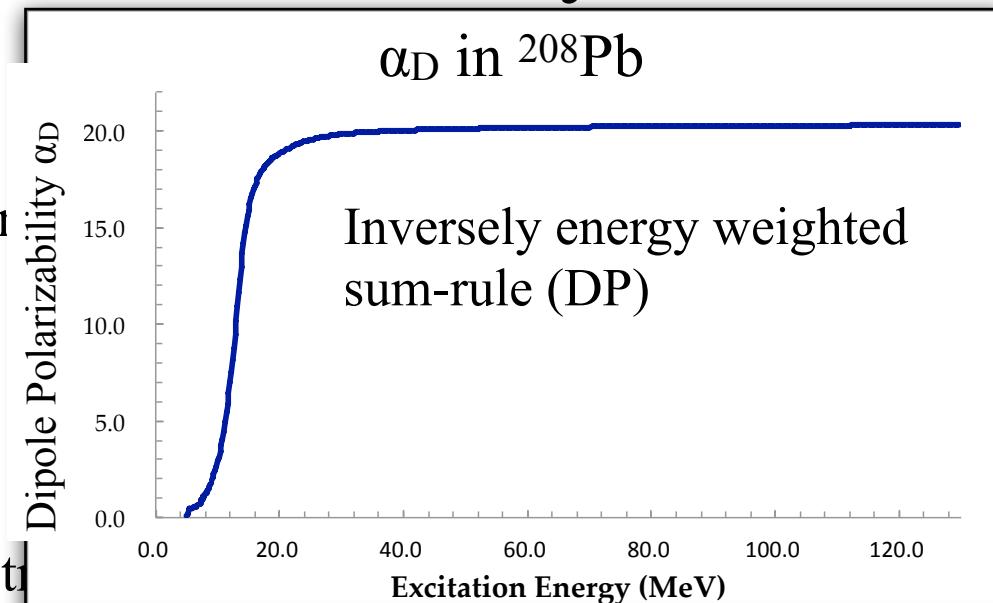
Good convergence in the excitation range

↔ energy-weighted (TRK) sum rule

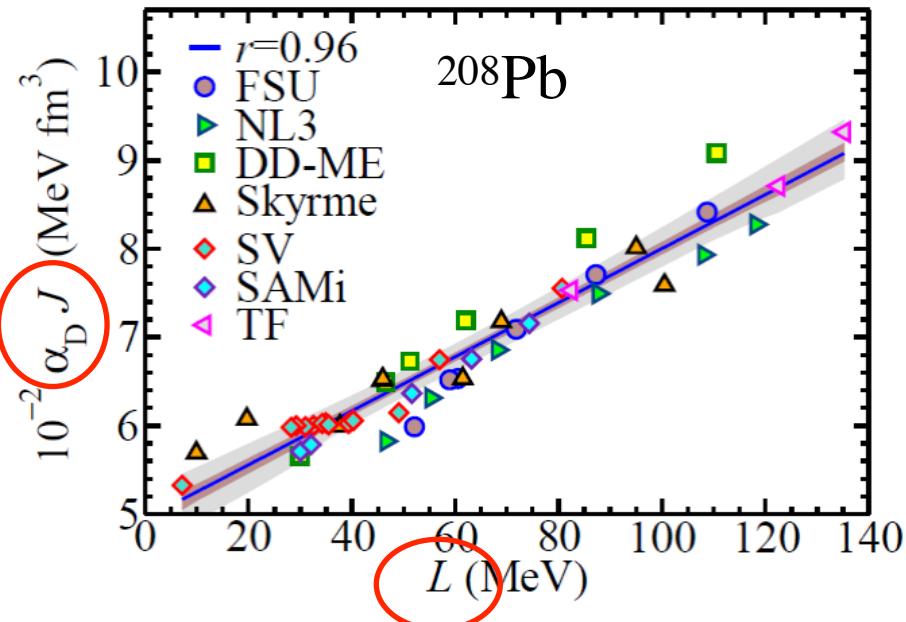
Sum-rule for all the transitions

= Ground state property

↔ easier comparison with theory



Electric Dipole Polarizability (α_D) in the correlation of J and L



X. Roca-Maza *et al.*, PRC88, 024316(2013)

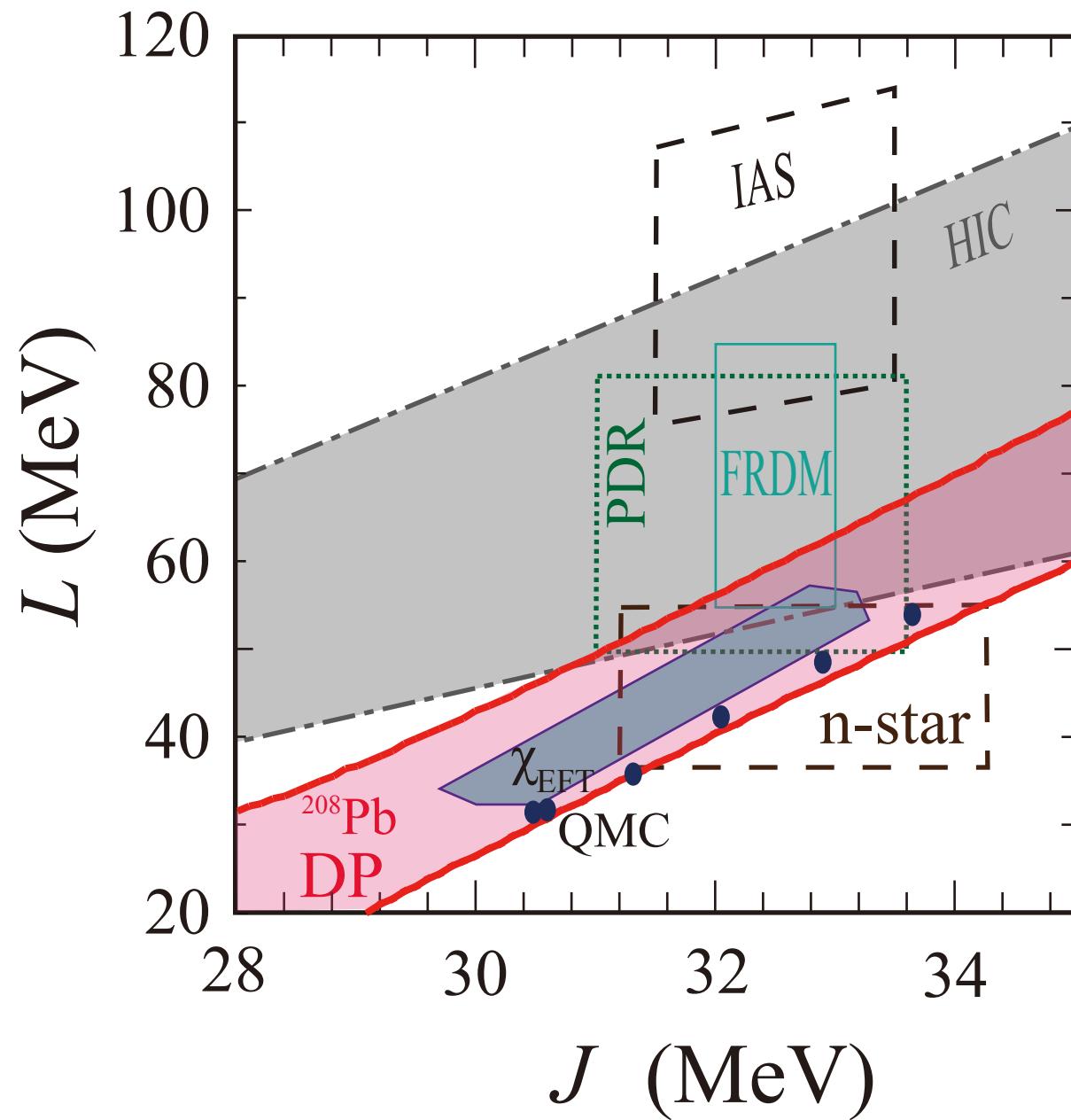
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insights from the droplet model

Precise determination of α_D of ^{208}Pb gives a constraint band in the $J-L$ plane.

Constraints on J and L



Tsang PRC2012

HIC: Heavy Ion Collision Analysis
Tsang PRL2009

IAS: Isobaric Analog State Energy
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PDR: Pygmy Dipole Resonance in
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n-star: Quiescent Low-Mass X-ray
Binaries, Stainer PRL2012

χ_{EFT} : Chiral Effective Field Theory,
Tews PRL2013

QMC: Quantum Monte-Carlo Calc.
Gandolfi, EPJA50, 10(2014).

DP: Dipole Polarizability ^{208}Pb
AT PRL2011&EPJA2014

Quasi-Deuteron Excitation Contribution

Photon absorption by a virtual deuteron in the nucleus
needs to be subtracted for comparison with EDF calculations.

^{208}Pb

$$\alpha_D(^{208}\text{Pb}): 20.1 \pm 0.6 \text{ fm}^3$$

$$\text{quasi-}d: 0.51 \pm 0.15 \text{ fm}^3$$

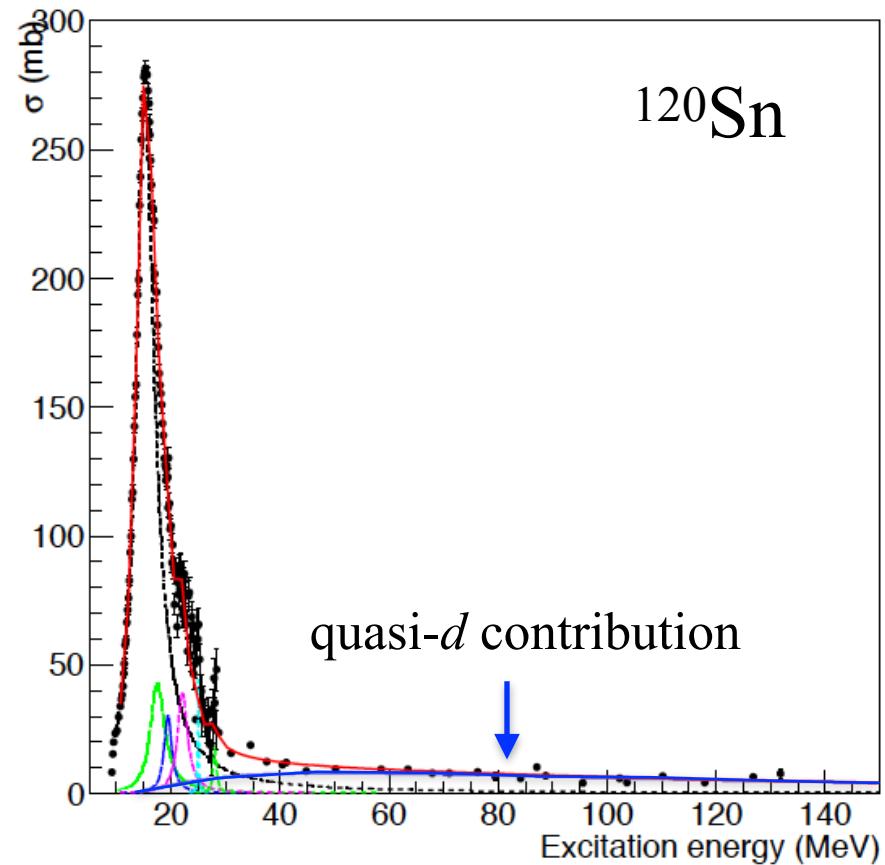
$$\text{w/o quasi-}d: 19.6 \pm 0.6 \text{ fm}^3$$

^{120}Sn

$$\alpha_D(^{120}\text{Sn}): 8.93 \pm 0.36 \text{ fm}^3$$

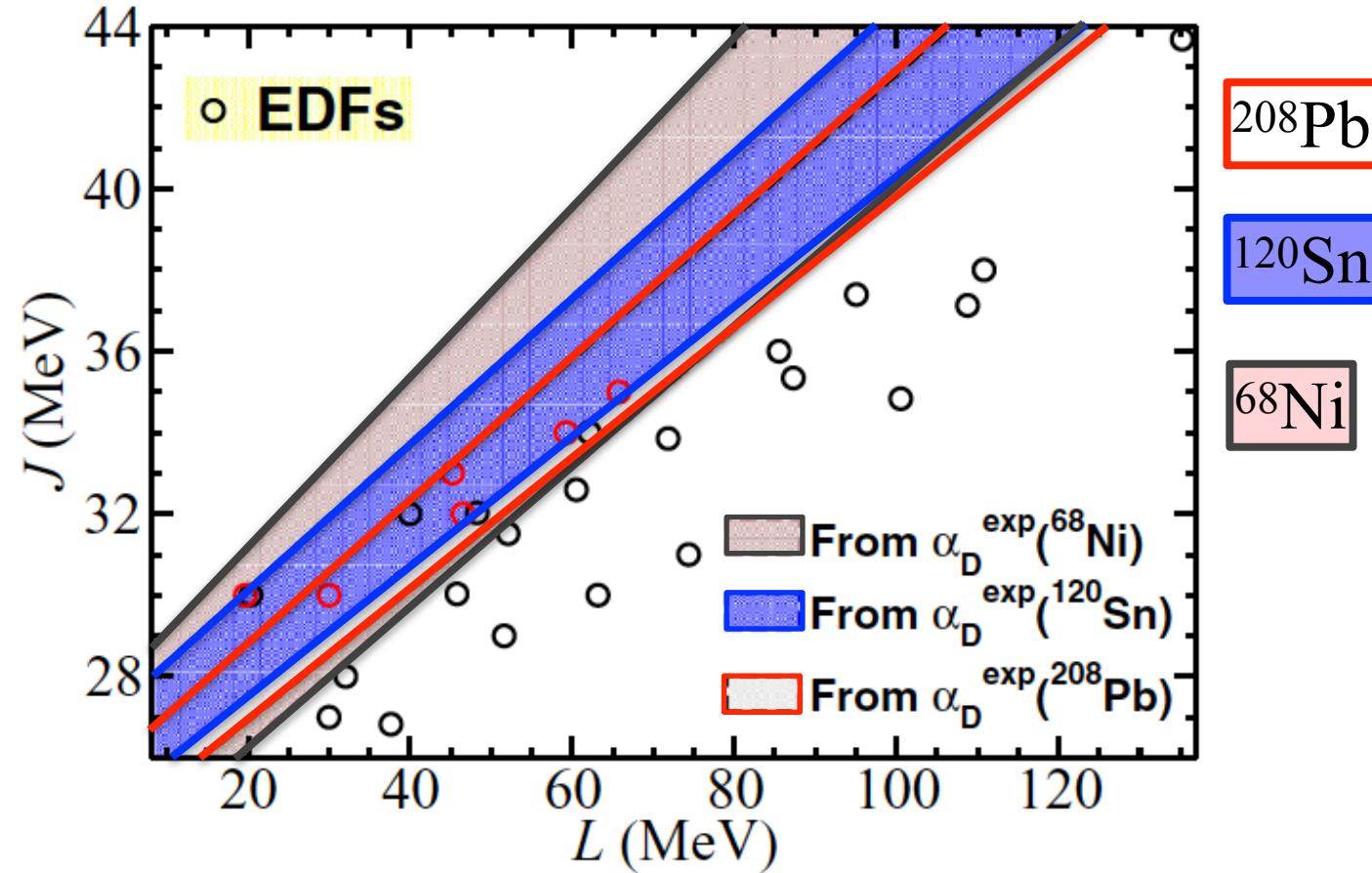
$$\text{quasi-}d: 0.34 \pm 0.08 \text{ fm}^3$$

$$\text{w/o quasi-}d: 8.59 \pm 0.37 \text{ fm}^3$$



Constraints on $J-L$ from the EDP data

X. Roca-Maza et al., PRC92, 064304(2015)



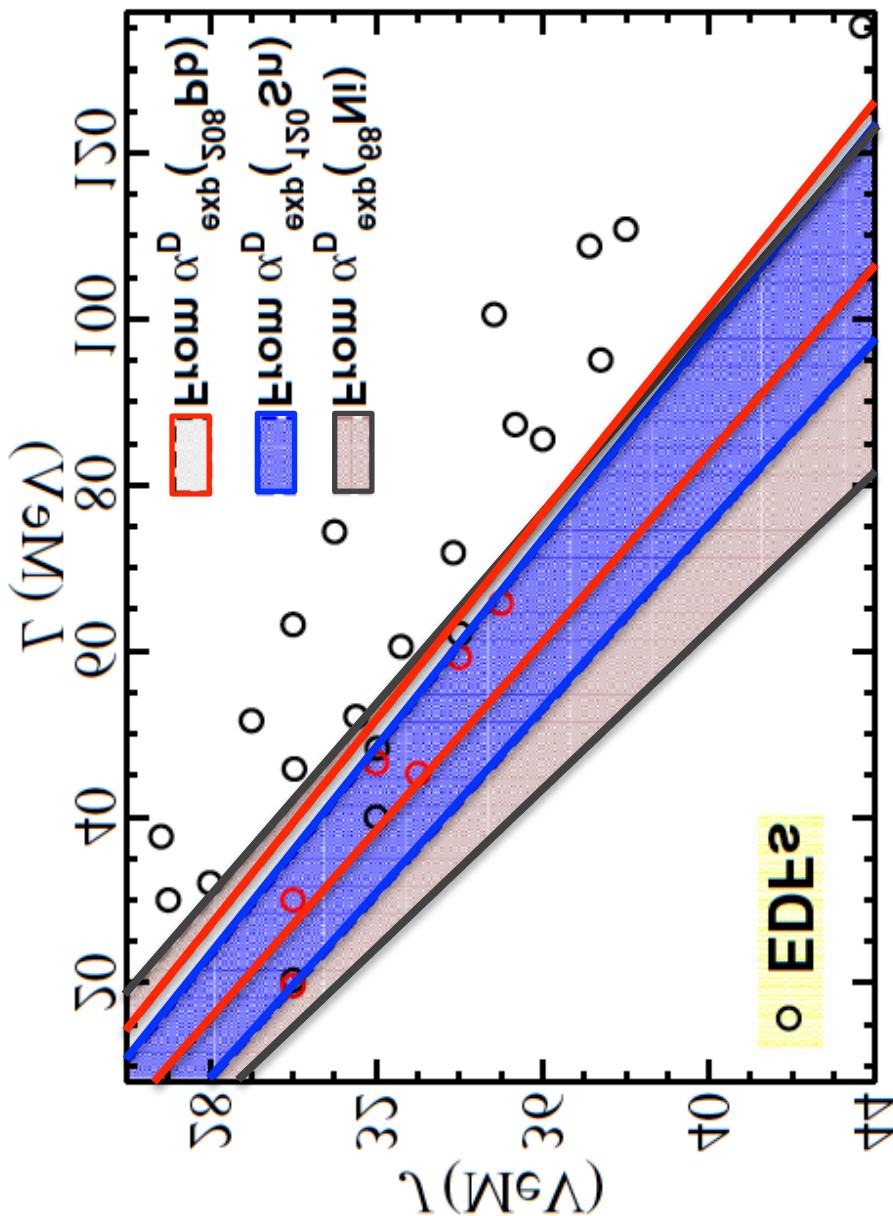
RCNP ${}^{208}\text{Pb}$: AT *et al.*, PRL107, 062502 (2011).

RCNP ${}^{120}\text{Sn}$: T. Hashimoto *et al.*, PRC92, 031305(R)(2015).

GSI ${}^{68}\text{Ni}$: D.M. Rossi *et al.*, PRL111, 242503 (2013).

Constraints on J - L from the EDP data

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208Pb

120Sn

68Ni

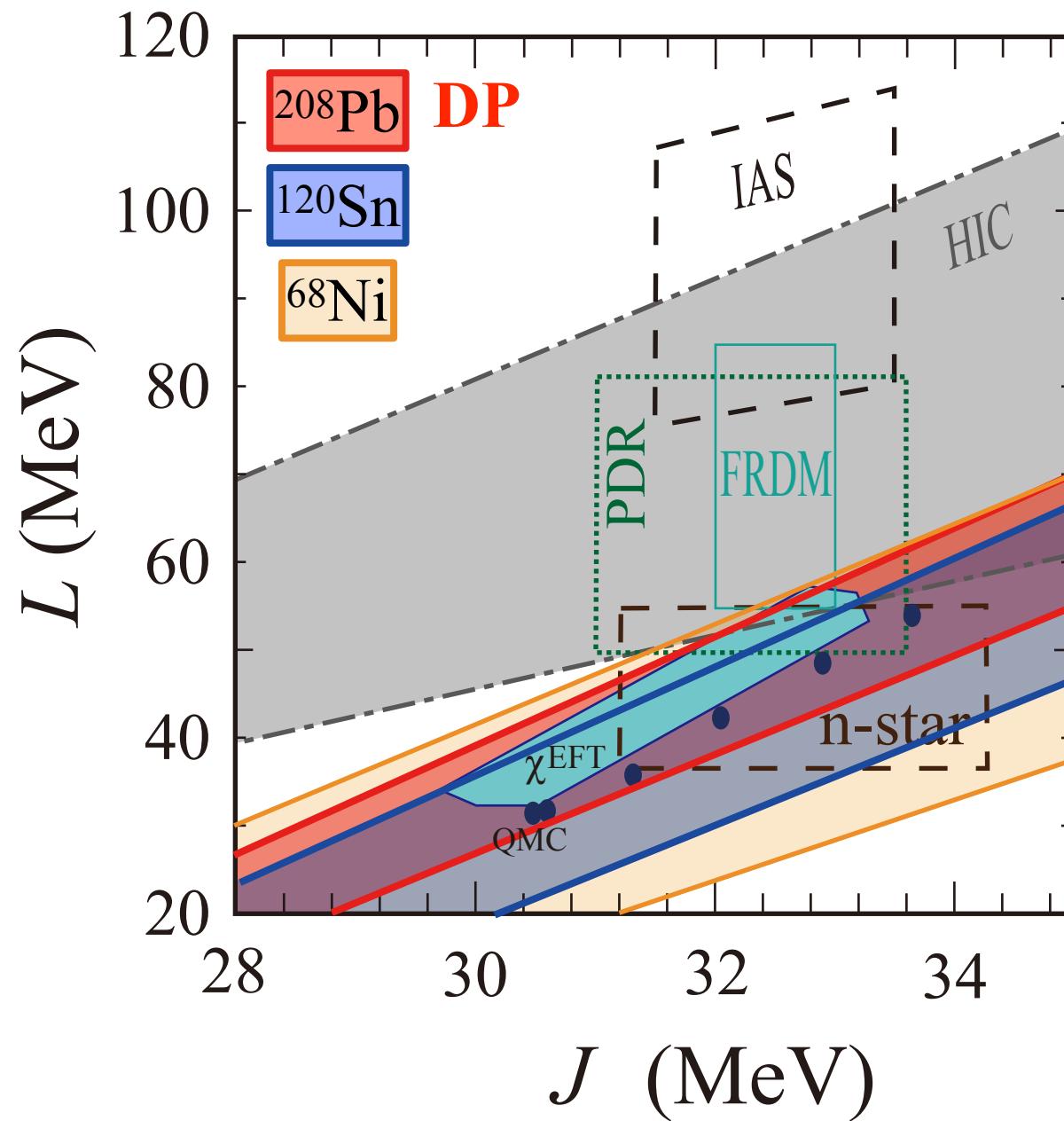
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These α_D data give essentially one constraint on the symmetry energy in the J - L plane.

Constraints on J and L



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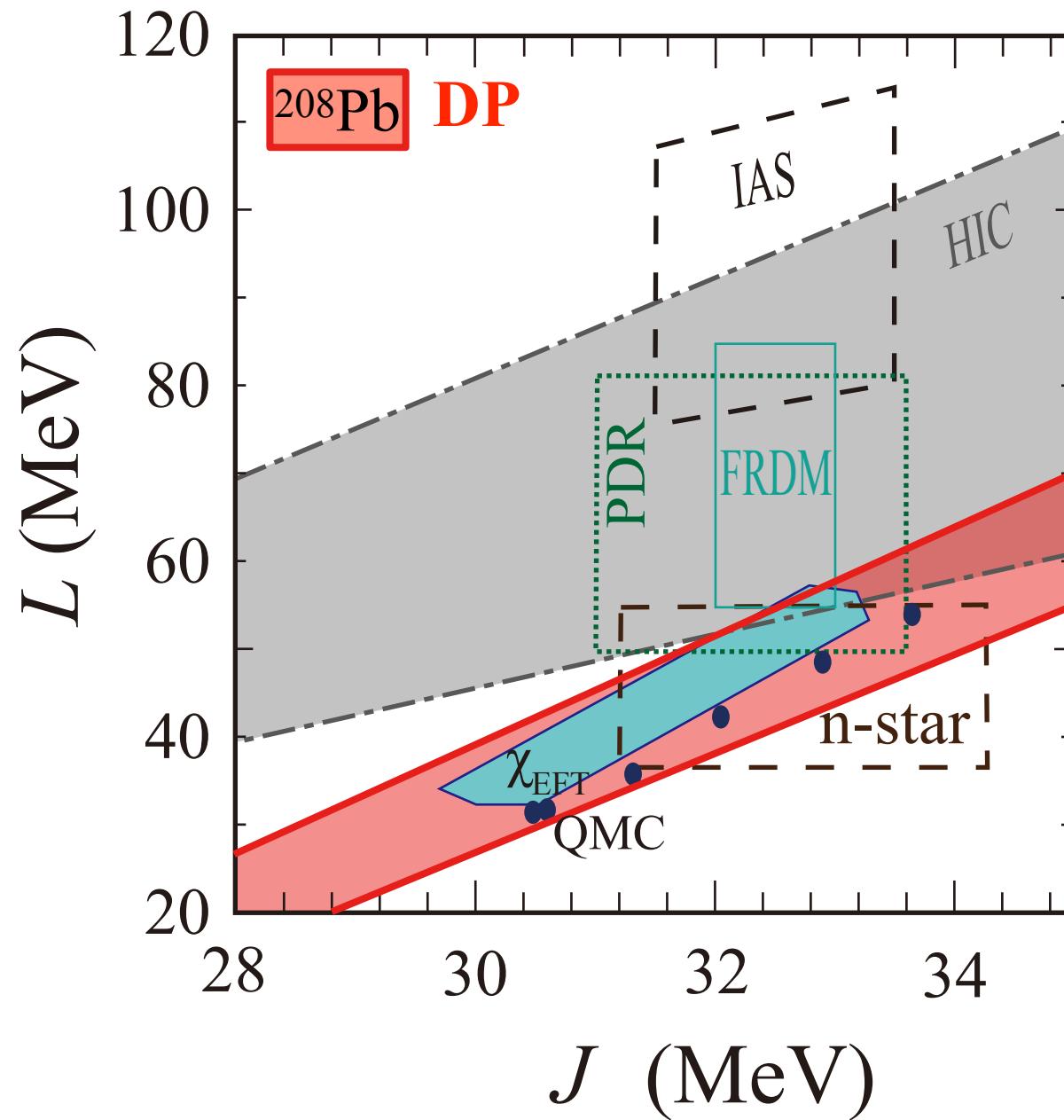
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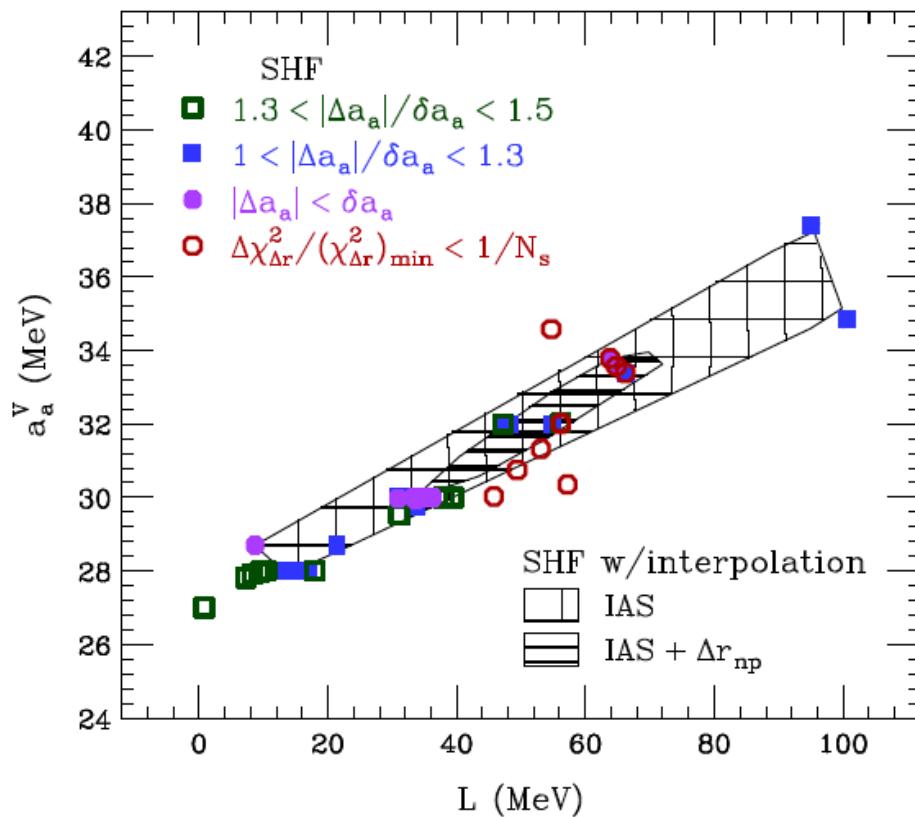
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Danielewicz&Lee NPA2014



quoted neutron-skin studies

Nucleus	Reference	Data source	Δr_{np} [fm]	Δr_{np}^{GF} [fm]
⁴⁸ Ca	Friedman [93]	pionic atoms	0.13 ± 0.06	
	Gils et al. [94]	elastic α scattering	0.175 ± 0.050	
	Ray [95]	elastic \vec{p} scattering	0.229 ± 0.050	
	Clark et al. [96]	elastic p scattering	0.103 ± 0.040	
	Shlomo et al. [97]	elastic p scattering	0.10 ± 0.03	
	Gibbs et al. [98]	elastic π scattering	0.11 ± 0.04	
⁵⁰ Ti	combined results		0.129 ± 0.053*	0.218 ± 0.015
	Gils et al. [94]	elastic α scattering	0.031 ± 0.040	0.133 ± 0.011
⁶⁴ Ni	Ray [95]	elastic \vec{p} scattering	0.167 ± 0.050	0.102 ± 0.015
¹¹⁶ Sn	Ray [95]	elastic \vec{p} scattering	0.146 ± 0.050	0.103 ± 0.015
¹²⁴ Sn	Ray [95]	elastic \vec{p} scattering	0.252 ± 0.050	0.184 ± 0.021
²⁰⁴ Pb	Zenihiro et al. [99]	elastic p scattering	0.178 ± 0.059	0.161 ± 0.024
²⁰⁶ Pb	Zenihiro et al. [99]	elastic p scattering	0.180 ± 0.064	
²⁰⁷ Pb	Starodubsky et al. [100]	elastic p scattering	0.181 ± 0.045	
	Starodubsky et al. [100]	combined results	0.181 ± 0.037	0.172 ± 0.024
²⁰⁸ Pb	Starodubsky et al. [100]	elastic p scattering	0.186 ± 0.041	0.178 ± 0.024
	Starodubsky et al. [100]	elastic p scattering	0.197 ± 0.042	
	Ray [95]	elastic \vec{p} scattering	0.16 ± 0.05	
	Clark et al. [96]	elastic p scattering	0.119 ± 0.045	
	Zenihiro et al. [99]	elastic p scattering	0.211 ± 0.063	
	Friedman [93]	elastic π^+ scattering	0.11 ± 0.06	
	Friedman [93]	pionic atoms	0.15 ± 0.08	
	combined results		0.159 ± 0.041*	0.179 ± 0.023

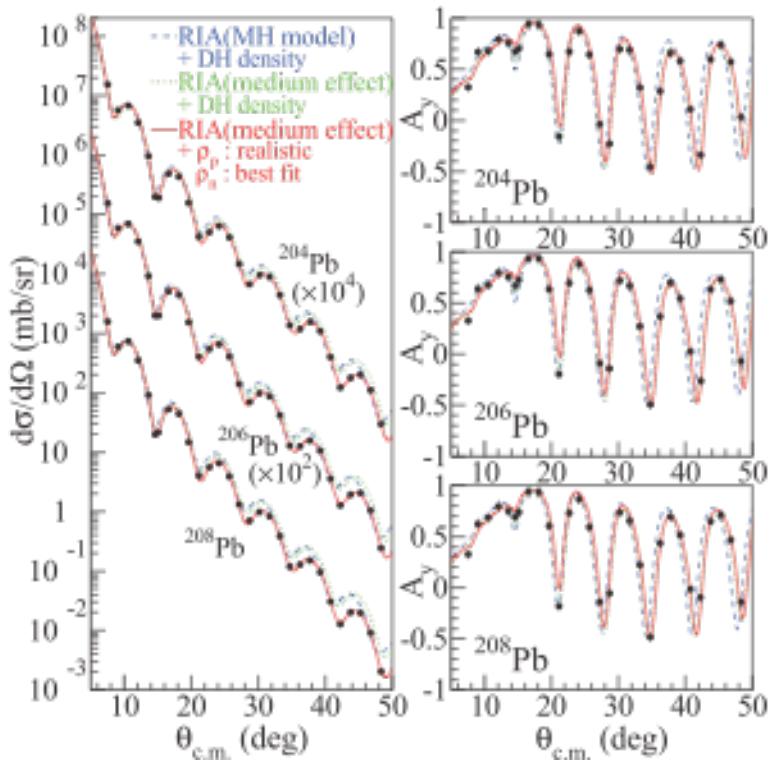
any average effects of asymmetry on the reactions competing with a variety of physical effects subject to modeling uncertainties. In this paper we attempt to learn about the average effects of neutron–proton asymmetry on nuclear energies, exploiting excitation energies to isobaric analog states and to reach the conclusions in as model-independent manner as possible. Our early efforts in this direction have been reported in [1–3]. As we progress, we find that we need to reassess our strategy.

Determination of Neutron Density Distribution by Strong Interaction

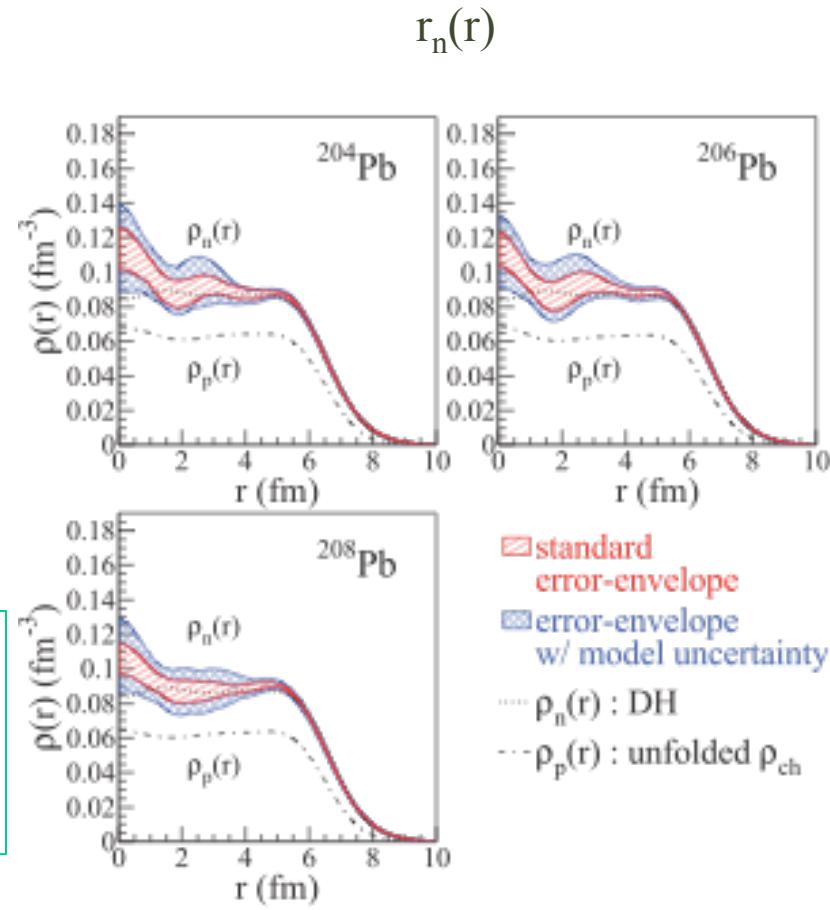
Polarized proton elastic scattering at 295 MeV (RCNP, Osaka University)

Analysis with relativistic impulse approximation (RIA), medium modification fixed with ^{58}Ni data

$d\sigma/dW, Ay$

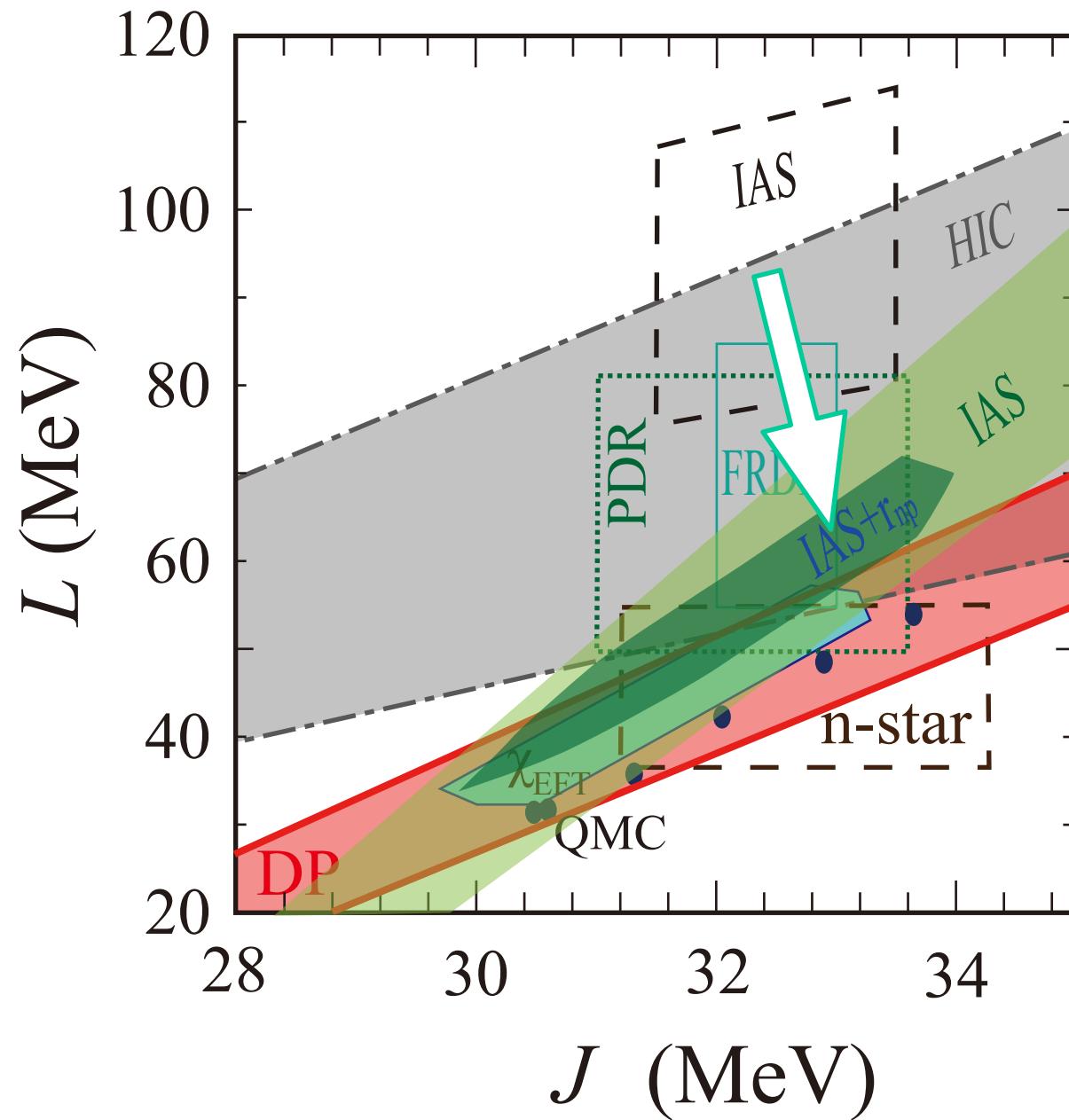


RIA
+
Medium
Effect



$$\Delta r_n/r_n < 0.5\%$$

Constraints on J and L



Tsang PRC2012

HIC: Heavy Ion Collision Analysis
Tsang PRL2009

IAS: Isobaric Analog State Energy
Danielewicz&Lee **NPA2009→2014**

PDR: Pygmy Dipole Resonance in
 ^{132}Sn , ^{68}Ni , Carbone PRC2010

FRDM: Finite Range Droplet Model
Moller PRL2012

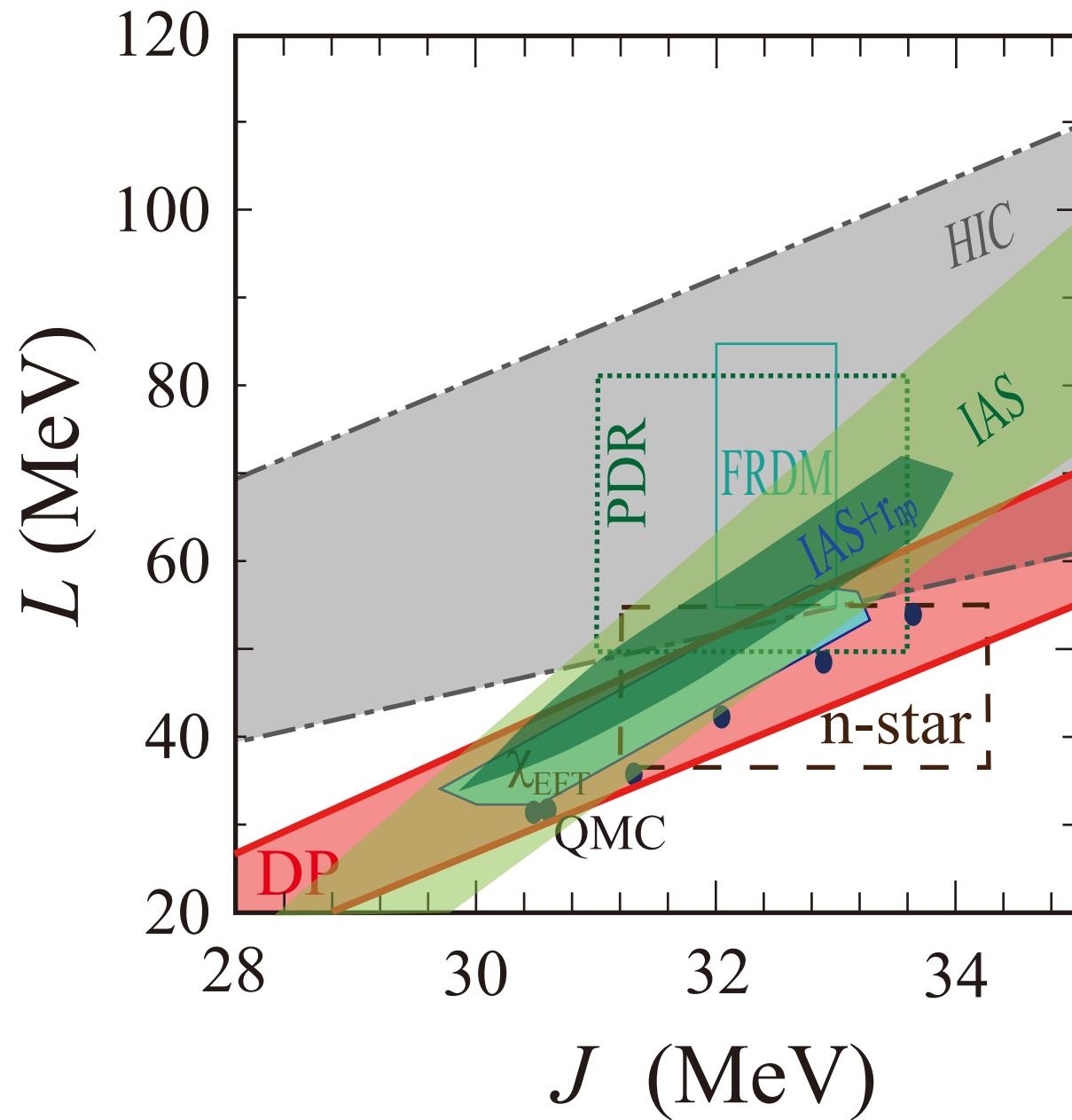
n-star: Quiescent Low-Mass X-ray
Binaries, Stainer PRL2012

χ_{EFT} : Chiral Effective Field Theory,
Tews PRL2013

QMC: Quantum Monte-Carlo Calc.
Gandolfi, EPJA50, 10(2014).

DP: Dipole Polarizability
 ^{208}Pb AT PRL2011

Constraints on J and L



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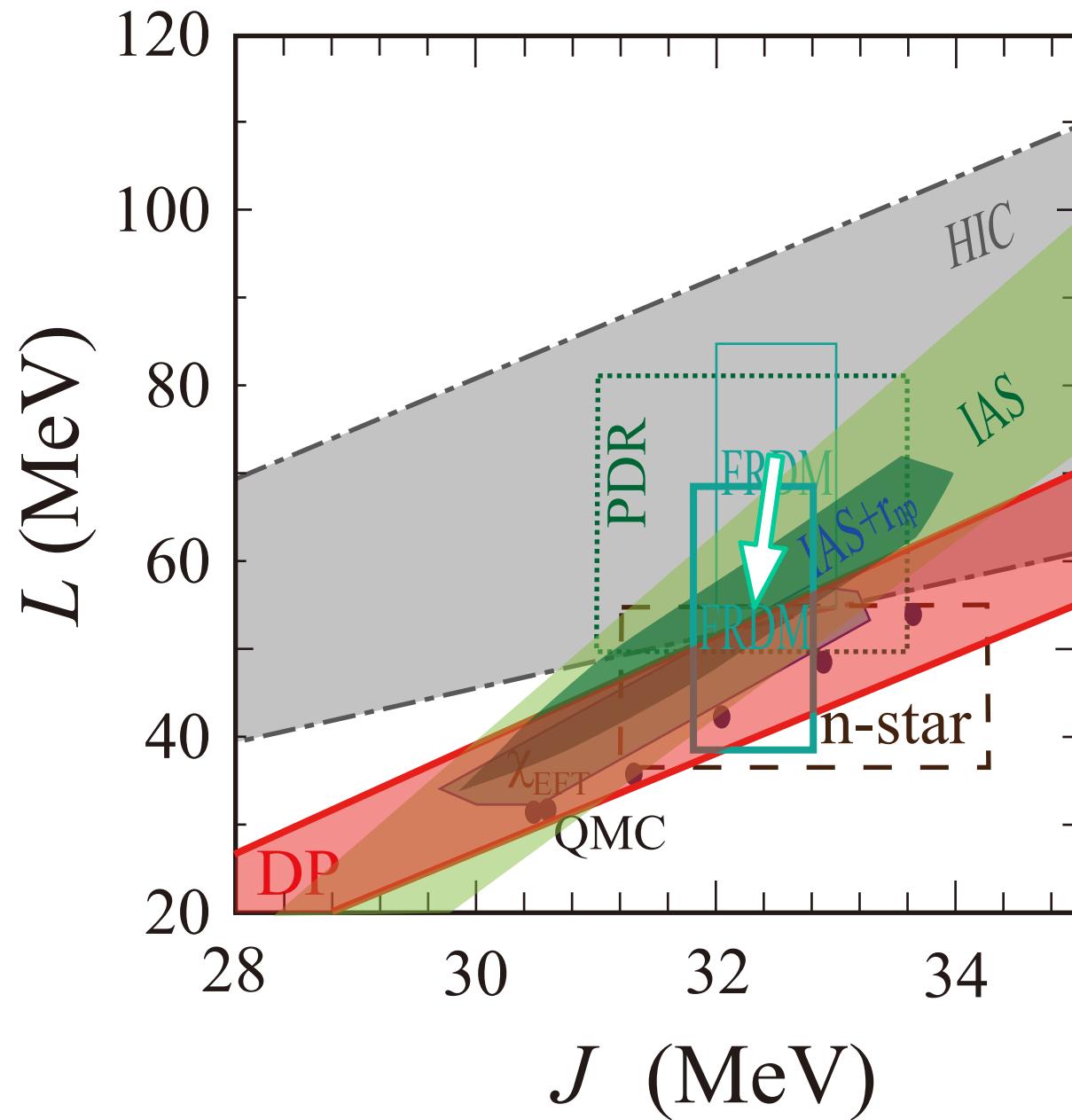
The optimal values of the asymmetry variables J and L that we obtained from the mass model FRDM (2012) study are

$$J = 32.3 \pm 0.5 \text{ MeV}$$

$$L = 53.5 \pm 15 \text{ MeV}$$

with the minimum rms deviation of $\sigma < 560$ keV as shown in Fig. 2. The above optimal L value is somewhat smaller than the value in Ref. [3], because we have implemented a more accurate calculation of the zero-point fluctuation effect see [2] for more details.

Constraints on J and L



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FRDM: Finite Range Droplet Model
Moller **PRL2012→ADNTD2016**

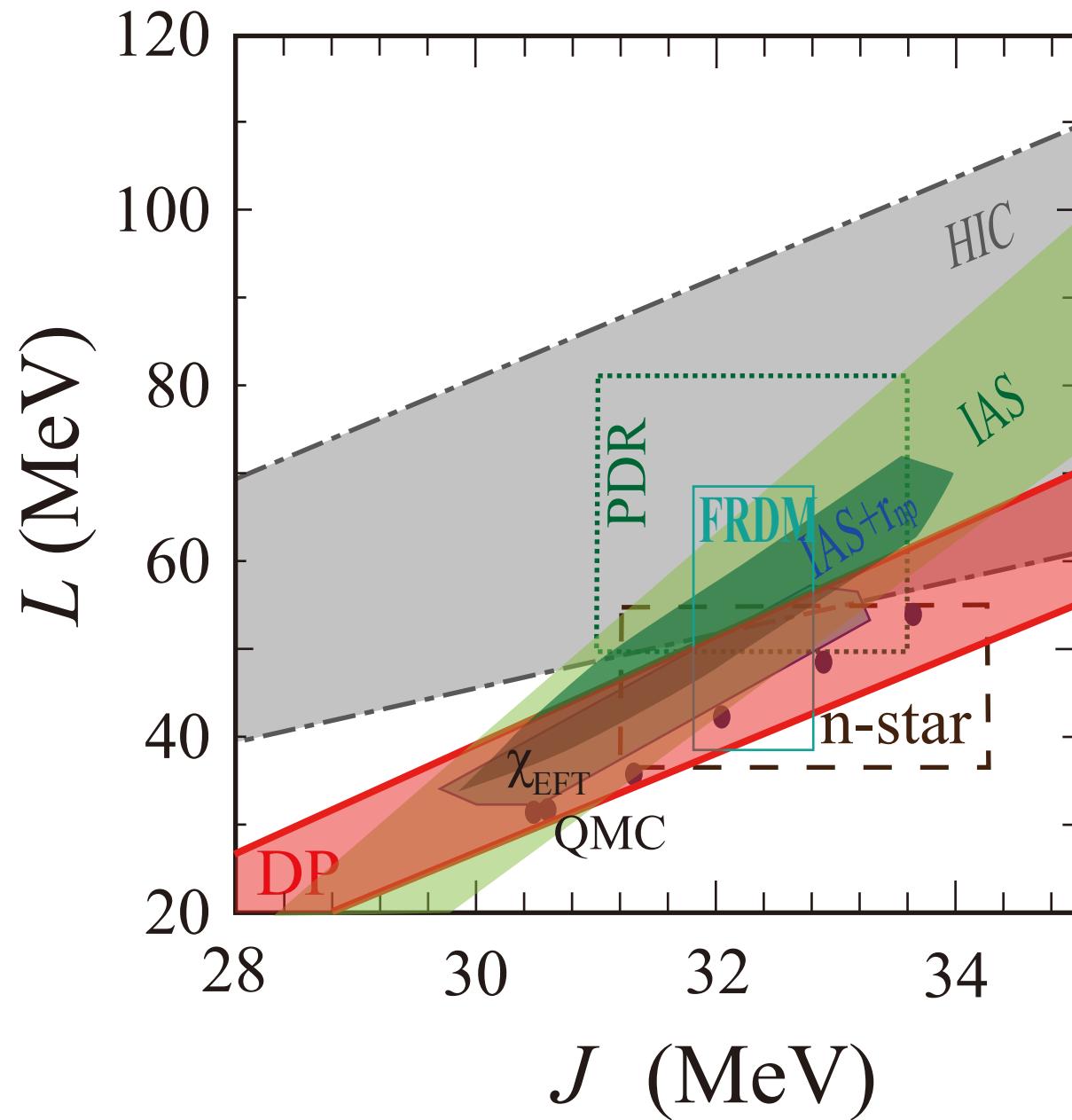
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Gandolfi, EPJA50, 10(2014).

DP: Dipole Polarizability
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Constraints on J and L



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Moller ADNDT2016

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χ_{EFT} : Chiral Effective Field Theory,
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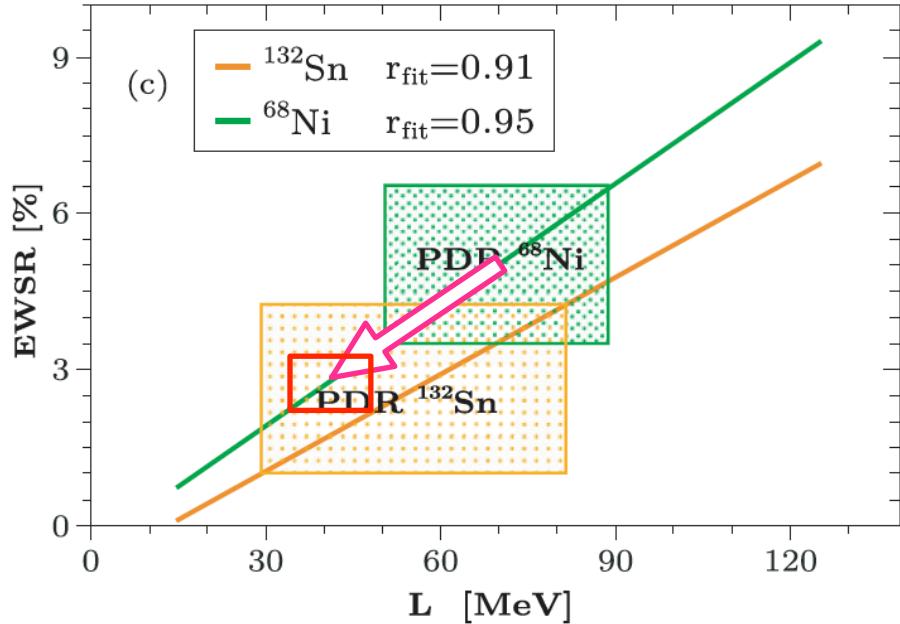
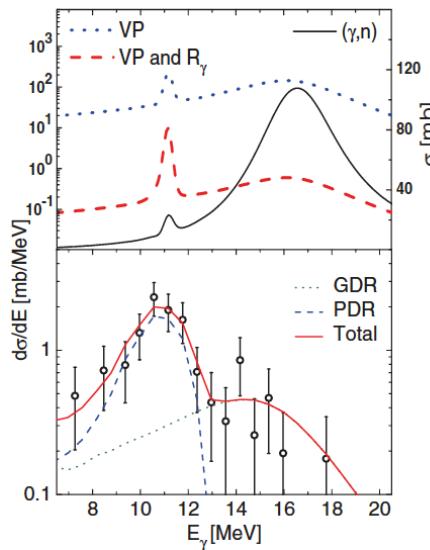
QMC: Quantum Monte-Carlo Calc.
Gandolfi, EPJA50, 10(2014).

DP: Dipole Polarizability
 ^{208}Pb AT PRL2011

PDR: Pygmy Dipole Resonance in ^{132}Sn , ^{68}Ni , Carbone PRC2010

Wieland: PRL2009

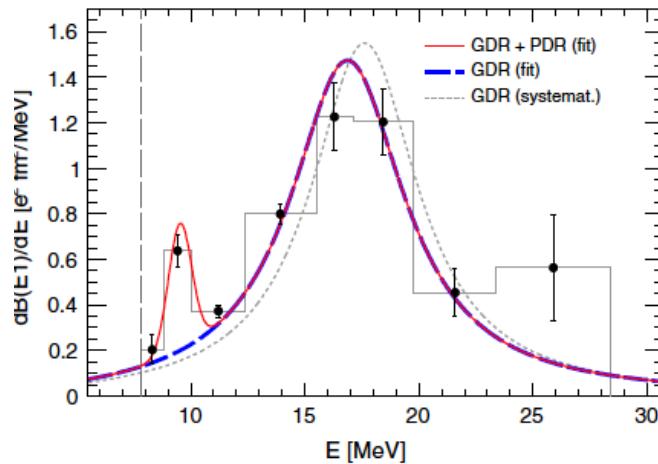
In summary, we have here presented the first experimental search of a pygmy resonance in the neutron-rich ^{68}Ni nucleus using the virtual photon scattering technique. Evidence is found for the presence of sizeable strength energetically located below the GDR and centered at ≈ 11 MeV with approximately 5% of the EWSR strength.



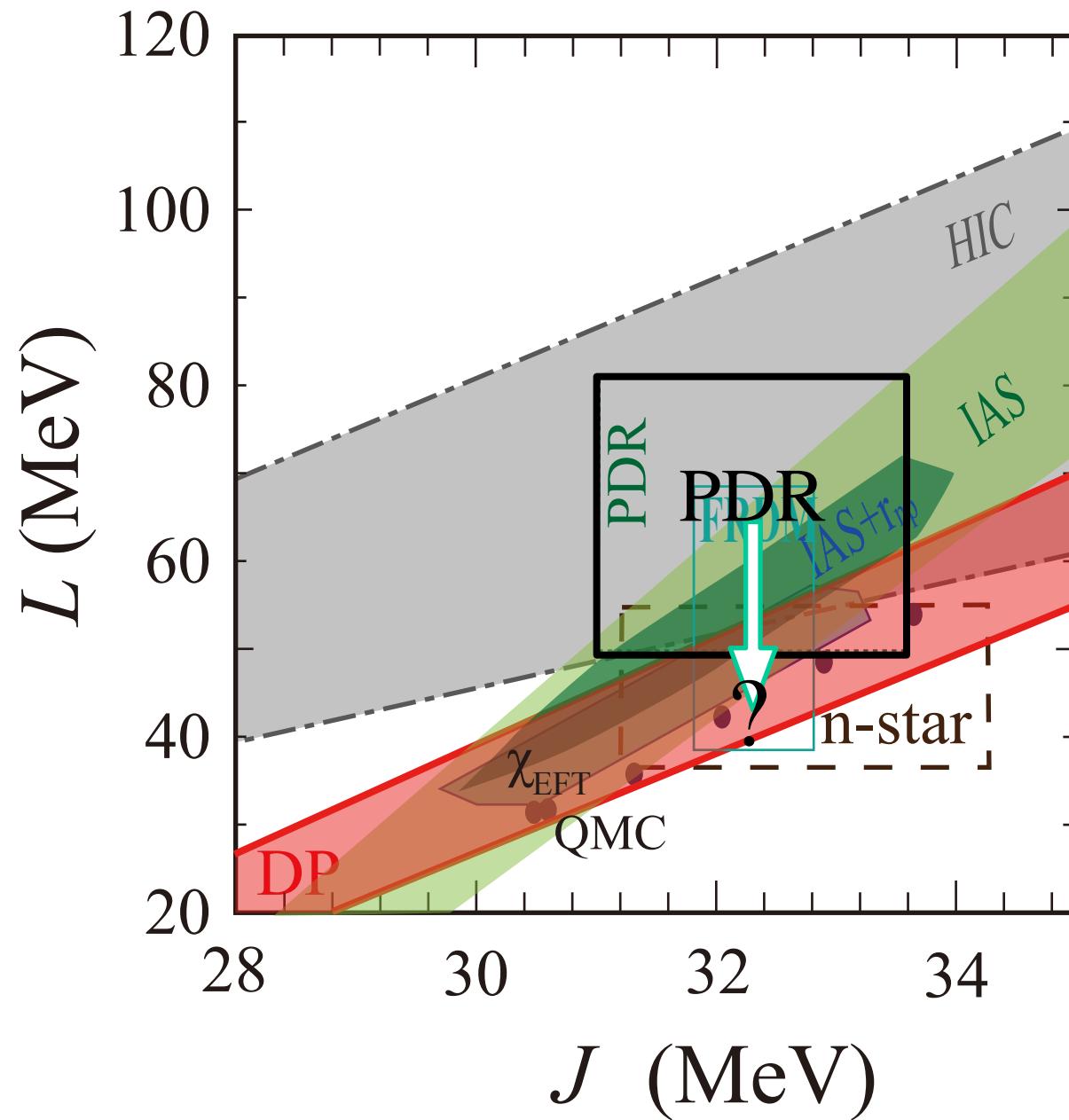
Rossi: PRL2013

TABLE I. GDR and PDR parameters for ^{68}Ni from fit to $E1$ strength, as shown in Fig. 3. Included as well are the GDR and PDR parameters from the literature.

		This work	Literature	Reference
GDR	E_m [MeV]	17.1(2)	17.84	[30]
	Γ [MeV]	6.1(5)	5.69	[30]
	S_{EWSR} [%]	98(7)	100	
PDR	E_m [MeV]	9.55(17)	11.0(5)	[25,31]
	σ [MeV]	0.51(13)	<1	[25]
	S_{EWSR} [%]	2.8(5)	5.0(1.5)	[13,25]



Constraints on J and L



Tsang PRC2012

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Tsang PRL2009

IAS: Isobaric Analog State Energy
Danielewicz&Lee NPA2014

PDR: Pygmy Dipole Resonance in
 ^{132}Sn , ^{68}Ni , Carbone **PRC2010→?**

FRDM: Finite Range Droplet Model
Moller ADNDT2016

n-star: Quiescent Low-Mass X-ray
Binaries, Stainer PRL2012

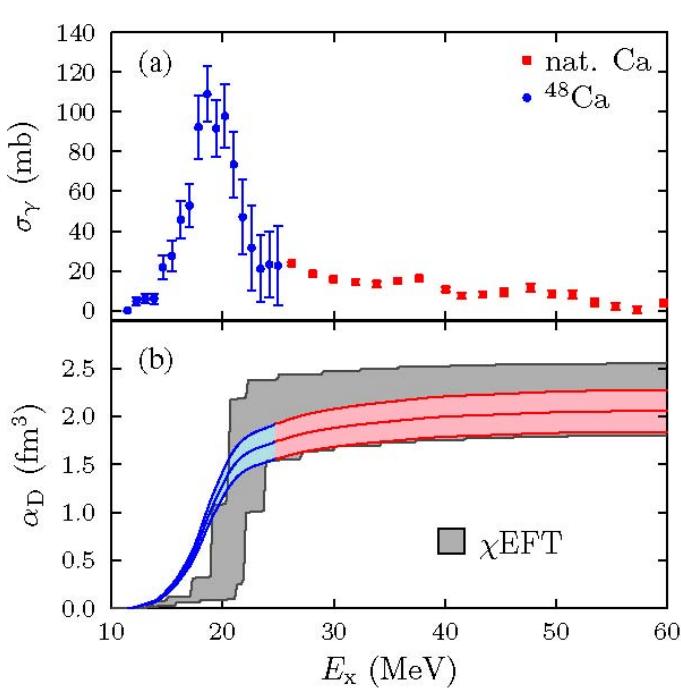
χ_{EFT} : Chiral Effective Field Theory,
Tews PRL2013

QMC: Quantum Monte-Carlo Calc.
Gandolfi, EPJA50, 10(2014).

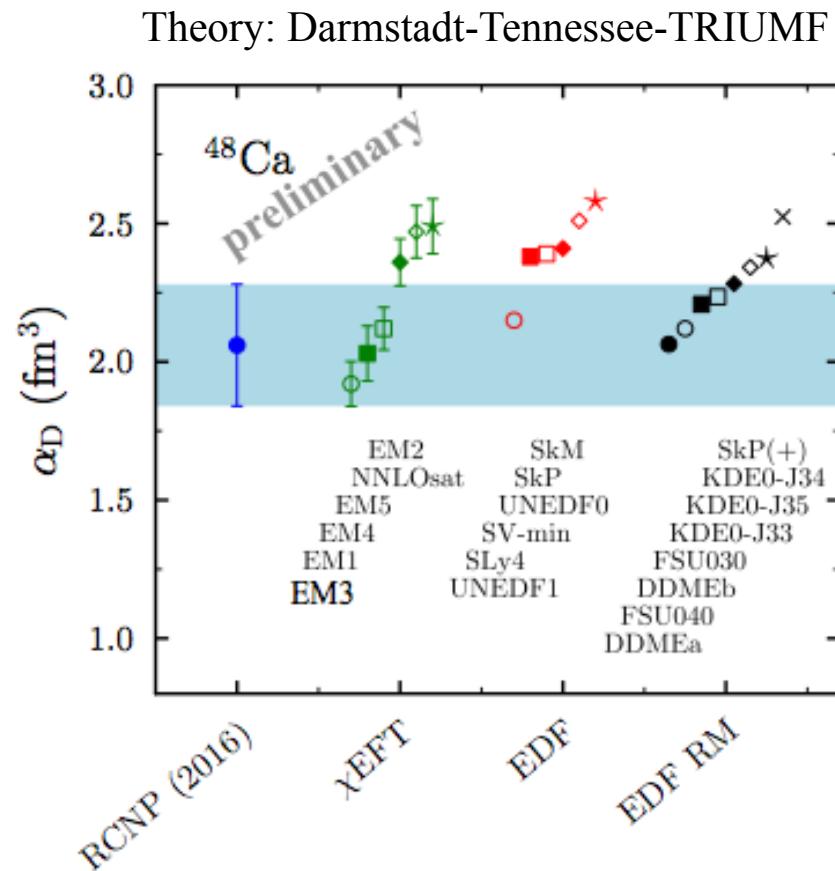
DP: Dipole Polarizability
 ^{208}Pb AT PRL2011

Dipole Polarizability of ^{48}Ca

where the EDF and ab-initio calculations meet



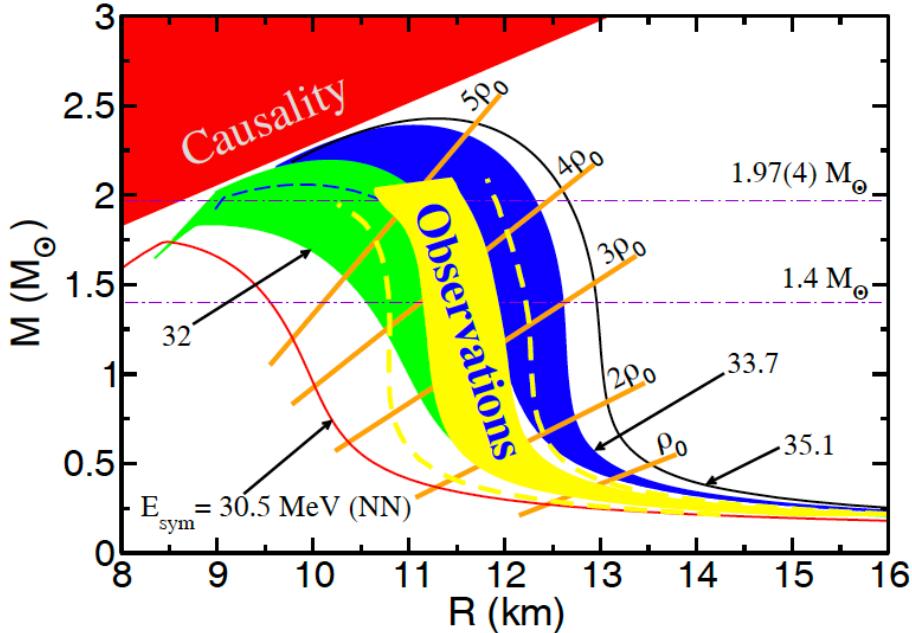
J. Birkhan et al., PRL118_252501(2017)



A dedicated new measurement is approved for a smaller uncertainty.

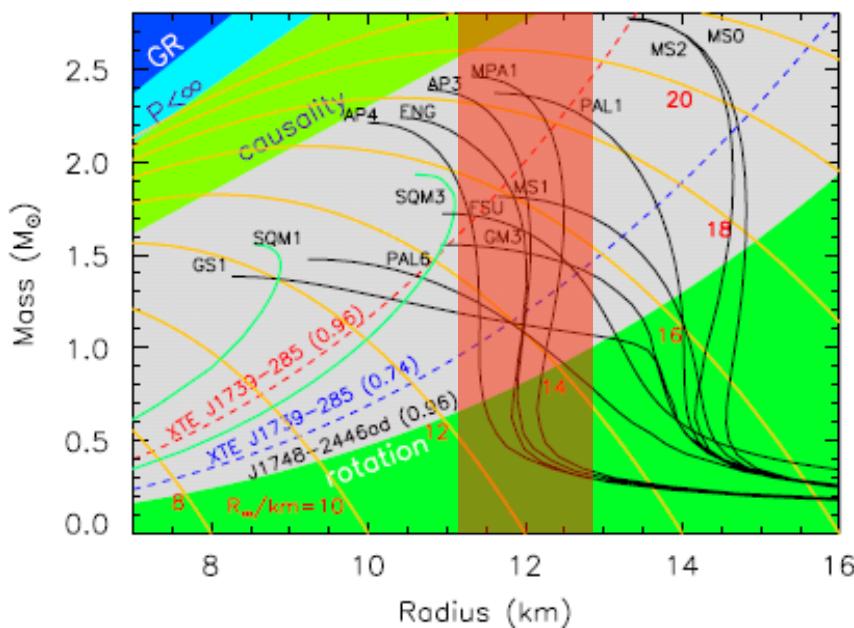
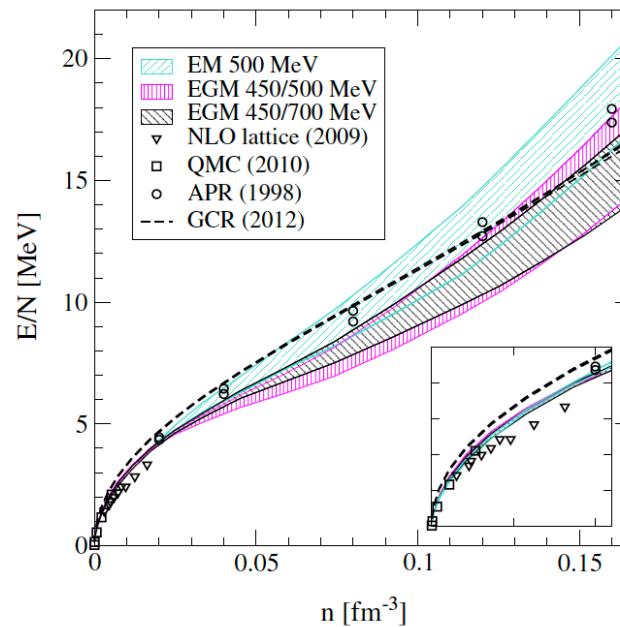
QMC

S. Gandolfi, J. Carlson et al., EPJA50, 10 (2014)

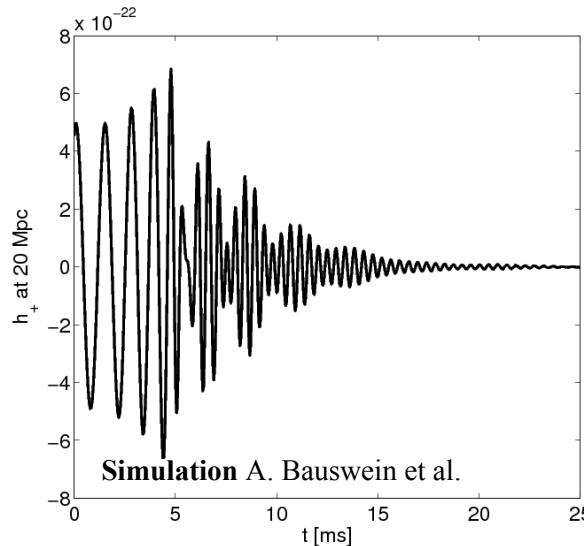
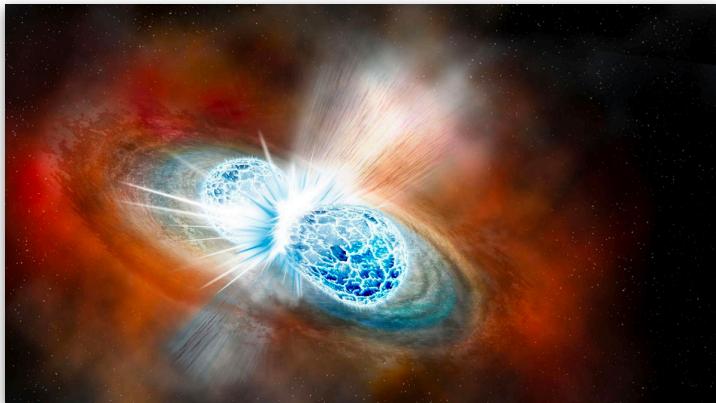


χ EFT

I. Tews, K. Hebeler et al., PRL110, 032504(2013)

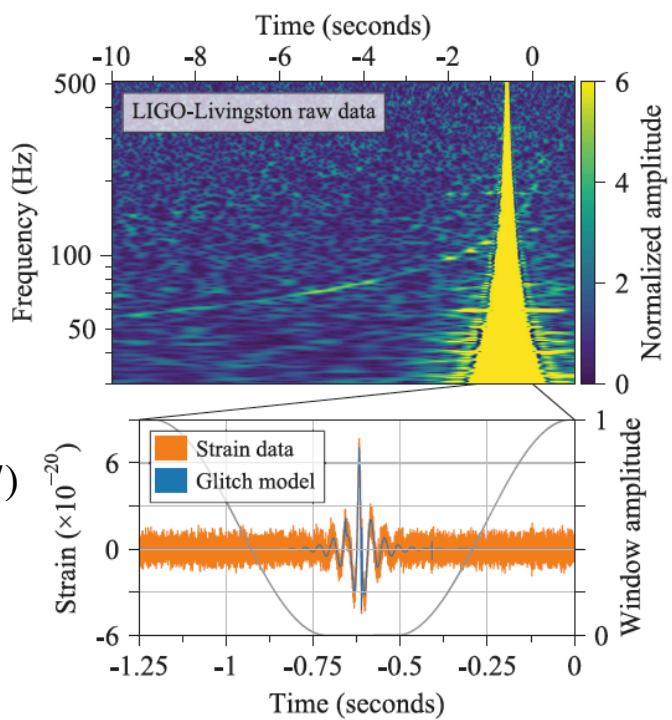


Neutron Star Merger GW



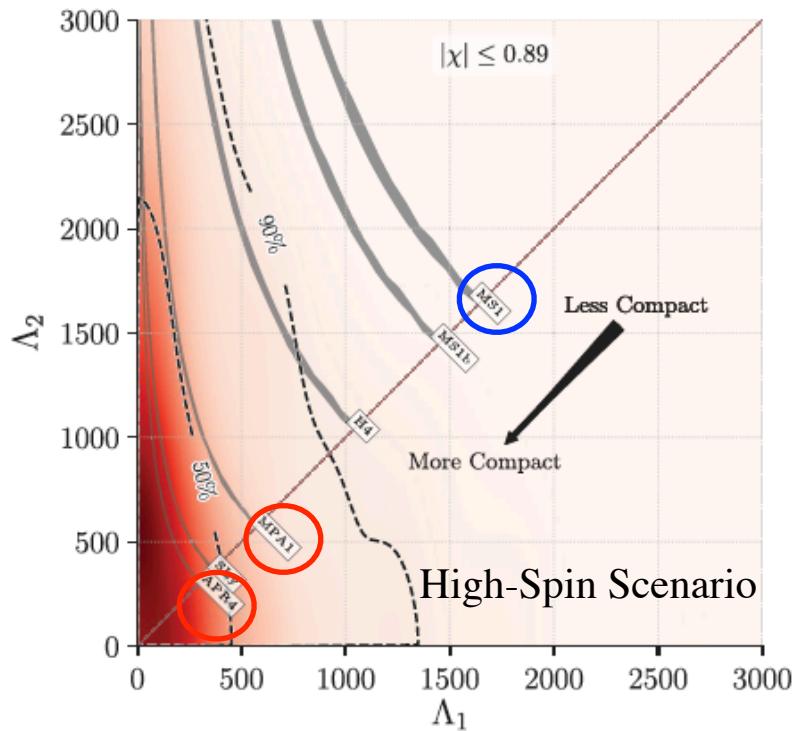
GW
PRL119, 161101(2017)

Advanced LIGO

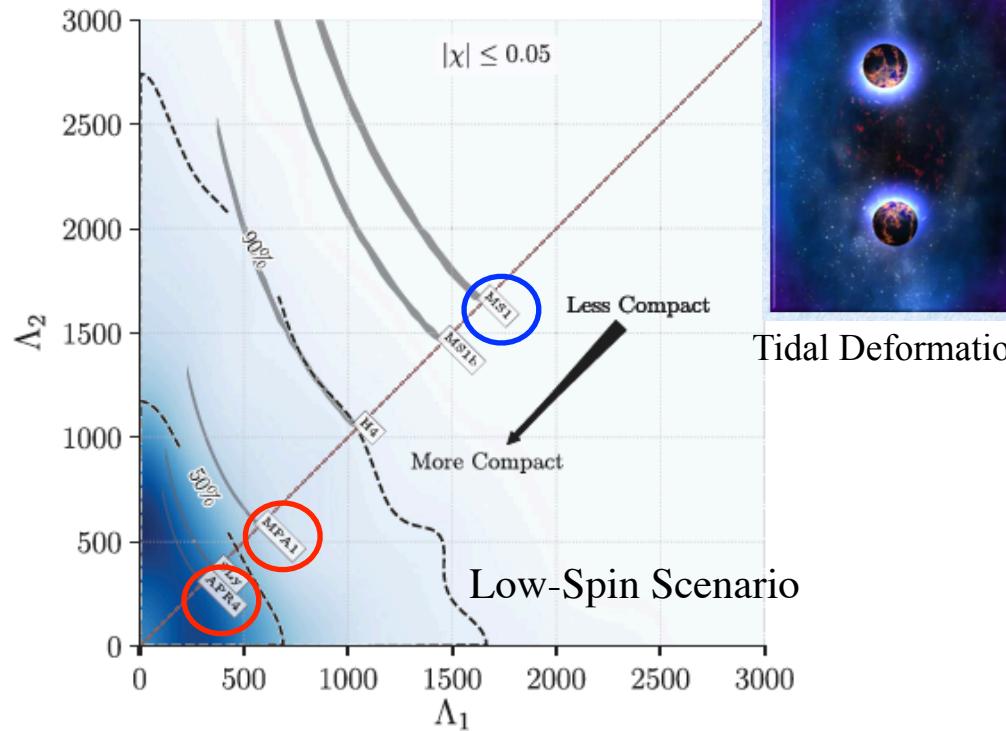


Neutron Star Merger GW

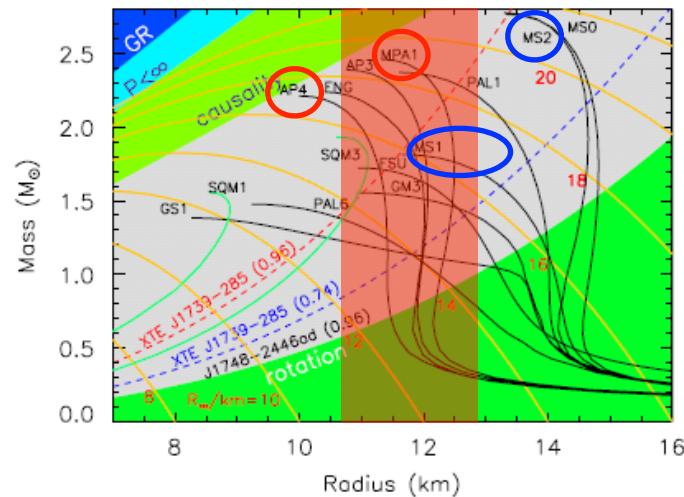
PRL119, 161101(2017)



Tidal Deformation Parameters



Tidal Deformation



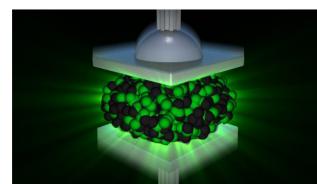
Giant Monopole Resonances and the Nuclear Incompressibility

For the equation of state of symmetric nuclear matter at saturation nuclear density:

$$\left[\frac{d(E/A)}{d\rho} \right]_{\rho=\rho_0} = 0$$

and one can derive the incompressibility of nuclear matter:

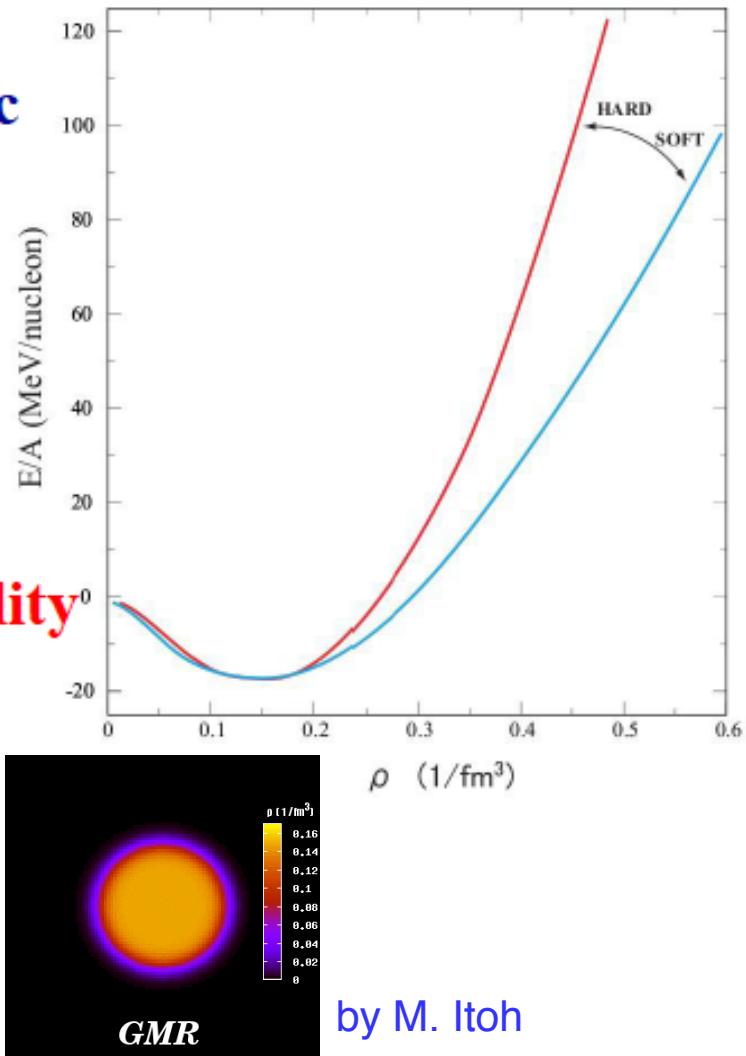
$$K_{nm} = \left[9\rho^2 \frac{d^2(E/A)}{d\rho^2} \right]_{\rho=\rho_0}$$



E/A: binding energy per nucleon

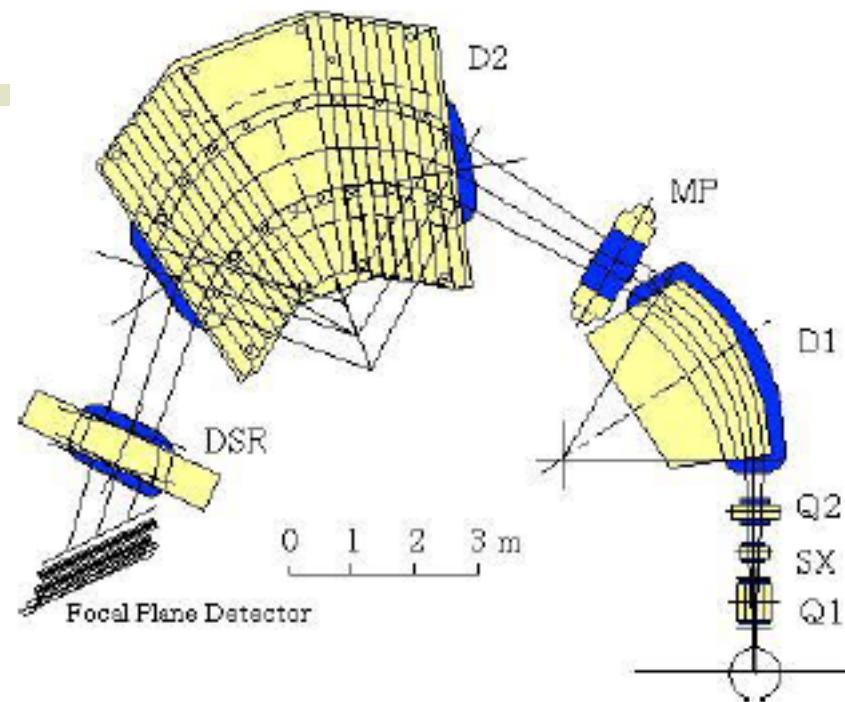
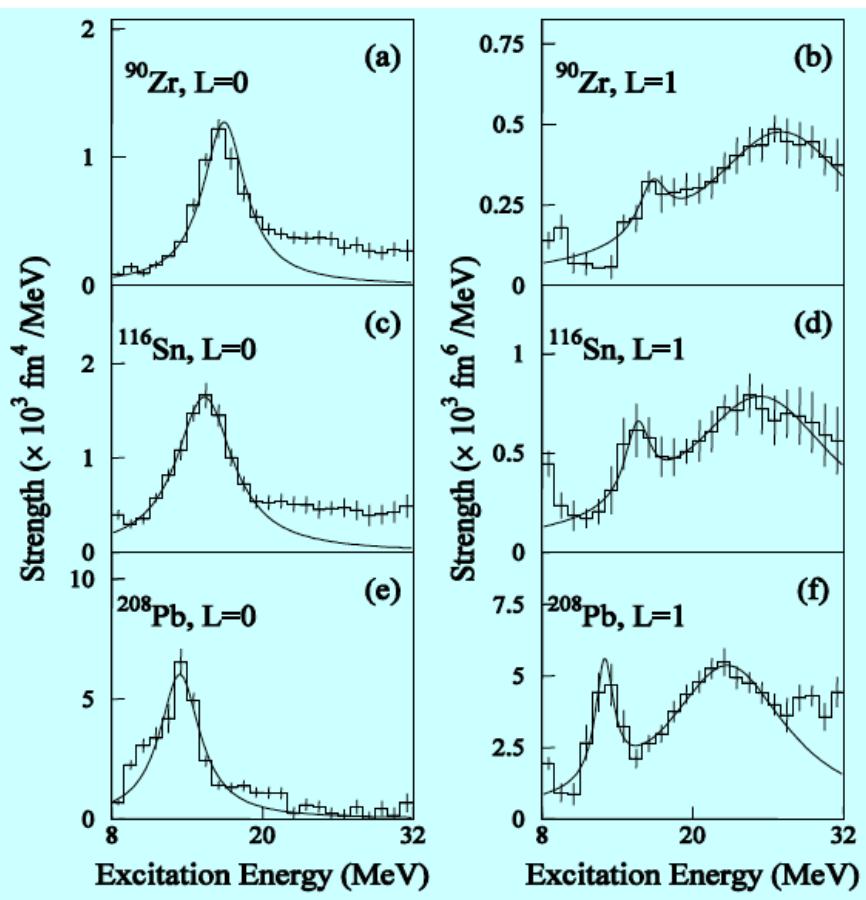
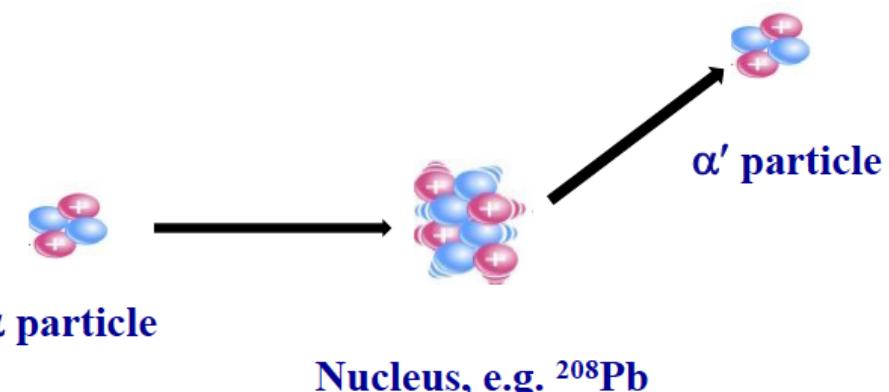
ρ : nuclear density

ρ_0 : nuclear density at saturation

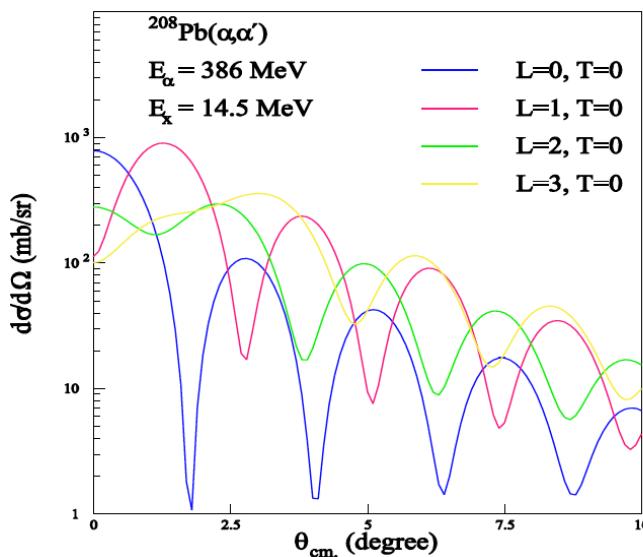


J.P. Blaizot, Phys. Rep. 64 (1980) 171

by M. Itoh



Grand Raiden@

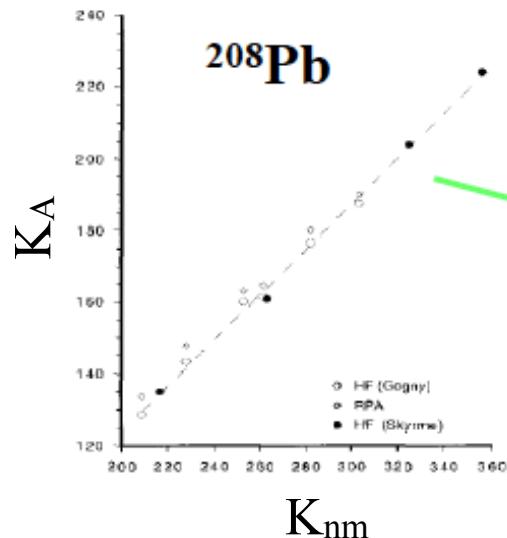


E/A : binding energy per nucleon

K_A : incompressibility

ρ : nuclear density

ρ_0 : nuclear density at saturation



K_A is obtained from excitation
energy of ISGMR

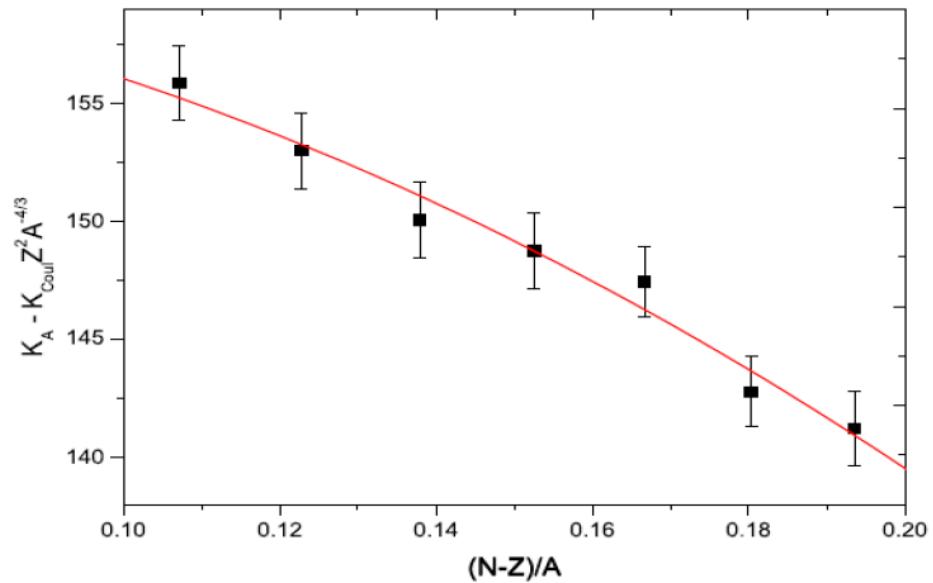
$$K_A = 0.64K_{nm} - 3.5$$

J.P. Blaizot, NPA591 (1995) 435

From GMR data on ^{208}Pb and ^{90}Zr ,

$$K_\infty = 240 \pm 10 \text{ MeV}$$

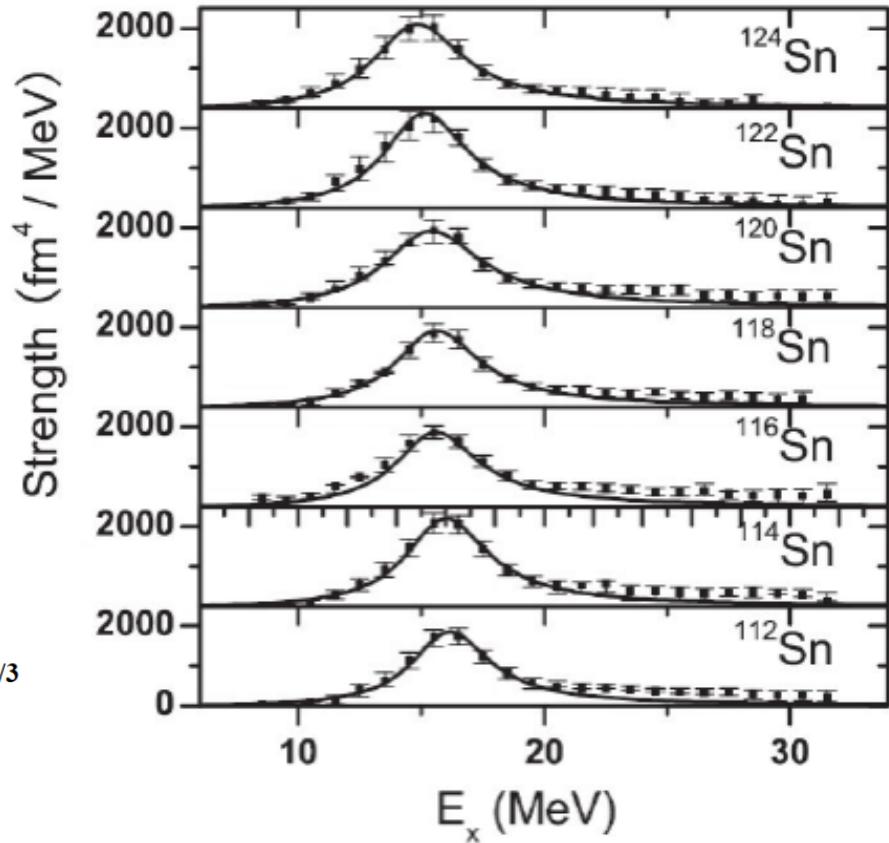
[See, e.g., G. Colò *et al.*, Phys. Rev. C 70 (2004) 024307]



$$K_\tau = -550 \pm 100 \text{ MeV}$$

$$K_A \sim K_{vol} (1 + c A^{-1/3}) + K_\tau ((N - Z)/A)^2 + K_{Coul} Z^2 A^{-4/3}$$

$$K_\tau^\infty = K_{sym} - 6L - \frac{Q_0}{K_\infty} L$$



T. Li et al., PRC99, 162503(2007)

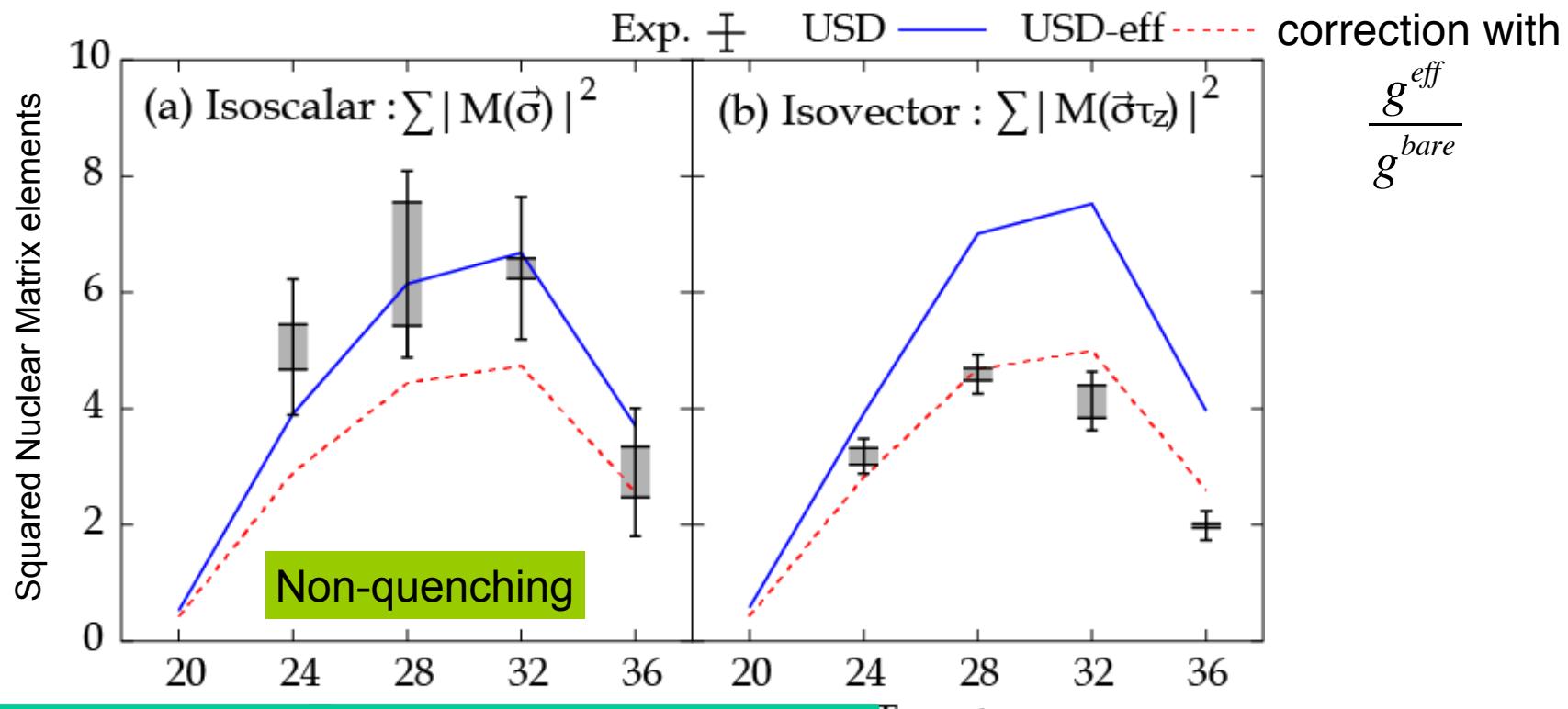
**Softness of Sn and Cd nuclei
is still unresolved**

Spin-M1 Responses
and
Quenching of IS/IV Spin-M1 Strengths

Spin-M1 SNME

H. Matsubara et al., PRL115, 102501 (2015)

- Summed up to **16 MeV**.
- Compared with shell-model predictions using the USD interaction



Isoscalar spin-M1 SNME is NOT quenching.

Target mass

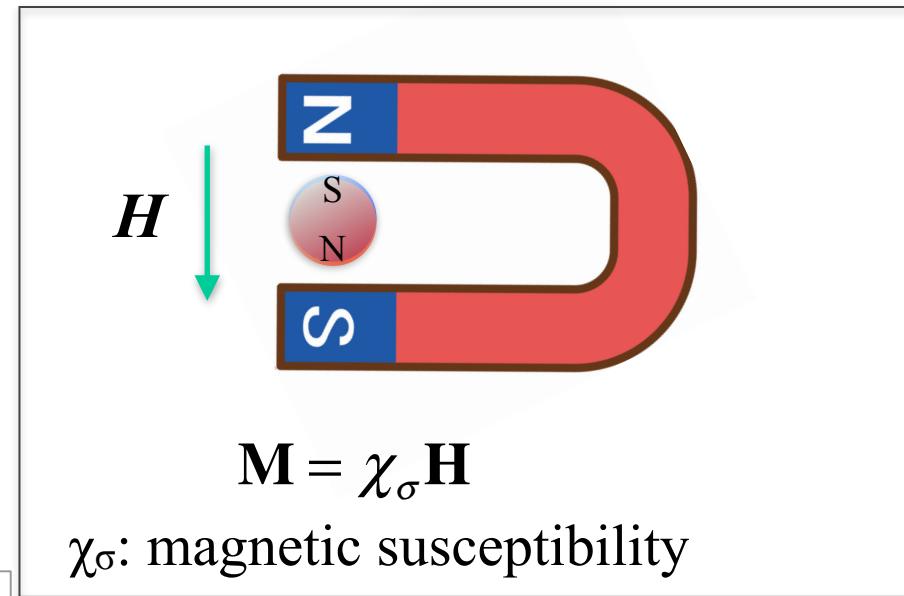
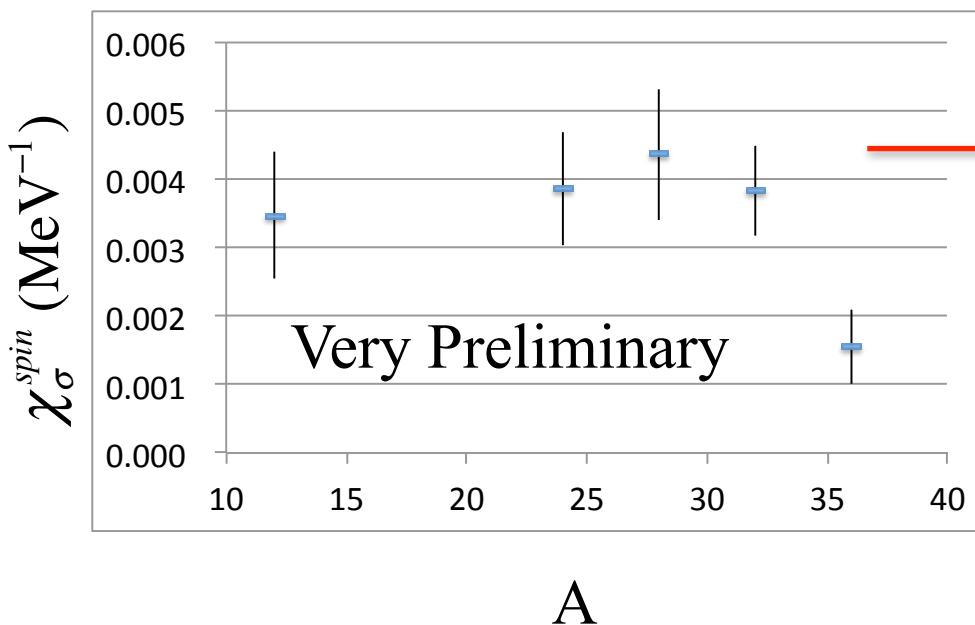
It is important to understand more explicitly the quenching mechanism and the effect to the nuclear astrophysics

Spin Magnetic Susceptibility

Inversely energy-weighted sum rule
of the spin-M1 strengths

$$\chi_{\sigma}^{spin} = \frac{8}{3N} \sum_f \frac{1}{\omega} \left| \langle f | \sum_i \sigma_i | 0 \rangle \right|^2$$

Spin Susceptibility of $N=Z$ Nuclei



0.0044(7) MeV $^{-1}$ at $\rho=0.16$ fm $^{-3}$

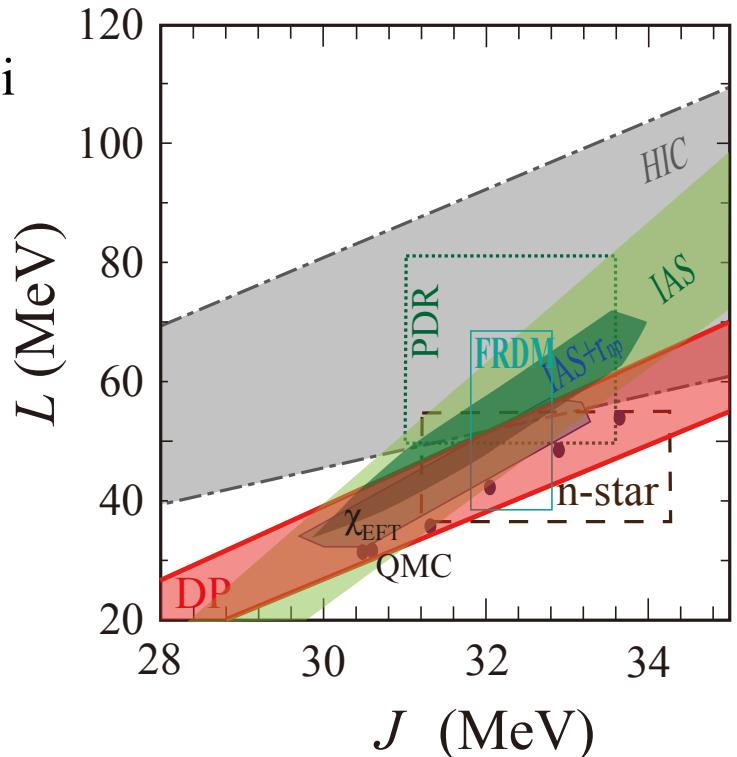
Neutron matter calc.
by AFDMC model

G. Shen et al., PRC87, 025802 (2013)

Further theoretical analysis
is required.

Summary

- The electric dipole polarizability (EDP) of nuclei were measured. Constraints on the symmetry energy parameters were discussed at around the saturation density.



- Brief information on
 - nuclear incompressibility from GMR measurements
 - quenching of spin-M1 excitations, and spin susceptibility
 - alpha clusters on the nuclear surface

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Collaboration ^{48}Ca



TECHNISCHE
UNIVERSITÄT
DARMSTADT

Experiment: Darmstadt-Osaka

Theory: Darmstadt-Tennessee-TRIUMF

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S. Bassauer (TUD)

J. Birkhan (Darmstadt)

G. Hagen (ORNL)

H. Matsubara (RCNP)

M. Miorelli (TRIUMF)

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